WAUCONDA CARE
TRANSITIONAL REHABILITATION & SERVICES

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OCT 03 2013

HEALTH FACILITIES &
SERVICES REVIEW BOARD

October 1, 2013

Ms. Courtney R. Avery
Administrator
Illinois Health Facilities and Services Review Board
525 West Jefferson Street
Second Floor
Springfield, IL 62761

RE: Permit #12-060 Stipulation and Condition

Dear Courtney:

Enclosed please find the bank statements for Wauconda Healthcare and Rehab Centre LLC in response to Permit #12-060 Stipulation and Condition.

If you have any questions, please do not hesitate to contact me.

Sincerely,

Laura Zung
Chief Executive Officer

Enclosure

176 Thomas Court, Wauconda, Illinois 60084 | 847.526.5551 | 847.526.7549 | waucondacare.com

WAUCONDA HLTHCR & REHAB CENTRE LLC

Interim Data as of 30-Sep-2013

Prior Day Cash Value:	$0.14(0%)	Prior Day Portfolio Value:	$7,528,234.05	Prior Day Accrued Income:	$5,506.04 (0.07%)

Account Unrealized G/L:	-$1,964.67(S), $1,309.53(L)	Account YTD G/L:	$486.46(S), $5,174.42(L)
Estimated Annual Income:	$321,175.30 (4.27%)	Total Liability:	$0.00

▼ Fixed Income & Cash

Description (Long/Short)	Security Id	Price	Quantity	Cost	Market Value	MV %	Unrealized G/L	Unrealized G/L%	Accrued Income	Est. Annual Income
Cash & Short Term										
Cash										
US DOLLAR	0USDPRAA7	1.00	0.14	0.14	0.14	0.00	0.00	0.00	0.00	0.00
Total Cash				0.14	0.14	0.00	0.00	0.00	0.00	0.00
Total Cash & Short Term				0.14	0.14	0.00	0.00	0.00	0.00	0.00
US Fixed Income										
Taxable Core										
DOUBLELINE TOTAL RET BD-I	DBLTX	10.96	403,638.17	4,406,199.39	4,423,874.31	58.76	17,675.18	0.40	0.00	229,670.11
Total Taxable Core				4,406,199.39	4,423,874.31	58.76	17,675.18	0.40	0.00	229,670.11
Extended Credit/High Yield										
JPM STR INC OPP FD	JSOSX	11.84	262,192.53	3,122,690.14	3,104,359.60	41.24	-18,330.32	-0.59	5,506.04	91,505.19
Total Extended Credit/High Yield				3,122,690.14	3,104,359.60	41.24	-18,330.32	-0.59	5,506.04	91,505.19
Total US Fixed Income				7,528,889.53	7,528,233.91	100.00	-655.14	-0.01	5,506.04	321,175.30
Total Fixed Income & Cash				7,528,889.67	7,528,234.05	100.00	-655.14	-0.01	5,506.04	321,175.30

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WAUCONDA HLTHCR & REHAB CTRE LLC-DYS

Interim Data as of 30-Sep-2013

Prior Day Cash Value:	$92,075.76(4.55%)	Prior Day Portfolio Value:	$2,022,102.45	Prior Day Accrued Income:	$126.38 (0.01%)

Account Unrealized G/L:	$12,125.98(S), $31,532.47(L)	Account YTD G/L:	-$8,141.43(S), $5,331.39(L)
Estimated Annual Income:	$76,326.48 (3.77%)	Total Liability:	$0.00

Equity

Description (Long/Short)	Security Id	Price	Quantity	Cost	Market Value	MV %	Unrealized G/L	Unrealized G/L%	Accrued Income	Est. Annual Income
US Large Cap Equity										
Core										
CLEARBRIDGE EQUITY INC FD-I	SOPYX	17.32	2,355.58	39,503.00	40,798.56	2.02	1,295.56	3.28	0.00	840.94
SIT DIVIDEND GROWTH FUND-I	SDVGX	16.90	5,930.62	84,326.46	100,227.53	4.96	15,901.07	18.86	0.00	1,553.82
Total Core				123,829.46	141,026.09	6.97	17,196.63	13.89	0.00	2,394.76
Total US Large Cap Equity				123,829.46	141,026.09	6.97	17,196.63	13.89	0.00	2,394.76
European Large Cap Equity										
Core										
JPM INTREPID EUR FD - INST	JFEIX	23.99	1,750.09	40,607.00	41,984.76	2.08	1,377.76	3.39	0.00	540.77
Total Core				40,607.00	41,984.76	2.08	1,377.76	3.39	0.00	540.77
Total European Large Cap Equity				40,607.00	41,984.76	2.08	1,377.76	3.39	0.00	540.77
Asia ex-Japan Equity										
Core										
MATTHEWS ASIA DIVIDEND-INS	MIPIX	15.78	5,304.31	75,365.19	83,702.00	4.14	8,336.82	11.06	0.00	3,368.23
Total Core				75,365.19	83,702.00	4.14	8,336.82	11.06	0.00	3,368.23
Total Asia ex-Japan Equity				75,365.19	83,702.00	4.14	8,336.82	11.06	0.00	3,368.23
Total Equity				239,801.65	266,712.85	13.19	26,911.21	11.22	0.00	6,303.76

Alternative Assets

Description (Long/Short)	Security Id	Units/Commitment Net of Origination Fee	Cost/Capital Called Since Inception	Cash/Security Distribution Since Inception	Estimated Value	MV %	Unrealized G/L	Unrealized G/L%
Hedge Funds								
Equity Long Bias								
GATEWAY FUND-Y Priced on 30-Sep-2013	GTEYX	2,175.78	57,658.09	0.00	61,182.85	3.03	3,524.76	6.11
Total Equity Long Bias			57,658.09		61,182.85	3.03	3,524.76	6.11
Relative Value/Credit								
EATON VANCE FLOATING-RATE Priced on 30-Sep-2013	EIFAX	15,014.67	164,249.26	0.00	167,113.30	8.26	2,864.04	1.74
HSBC FDS Priced on 30-Sep-2013	HTRIX	7,732.99	78,491.34	0.00	77,407.21	3.83	-1,084.05	-1.38

Total Relative Value/Credit			242,740.60			244,520.51	12.09	1,779.99	0.73
Total Hedge Funds			300,398.69			305,703.36	15.12	5,304.75	1.77
Real Estate & Infrastructure									
Infrastructure									
NUVEEN GLOBAL INFRASTRUCT-I Priced on 30-Sep-2013	FGIYX	4,111.88	39,788.00		0.00	42,681.31	2.11	2,893.31	7.27
TORTOISE MLP & PIPELINE-INS Priced on 30-Sep-2013	TORIX	4,622.36	58,611.00		0.00	68,873.24	3.41	10,262.24	17.51
Total Infrastructure			98,399.00			111,554.55	5.52	13,155.55	13.37
Total Real Estate & Infrastructure			98,399.00			111,554.55	5.52	13,155.55	13.37
Total Alternative Assets			398,797.69			417,257.91	20.63	18,460.30	4.63

Fixed Income & Cash

Description (Long/Short)	Security Id	Price	Quantity	Cost	Market Value	MV %	Unrealized G/L	Unrealized G/L%	Accrued Income	Est. Annual Income
Cash & Short Term										
Cash										
US DOLLAR	0USDPRAA7	1.00	92,075.76	92,075.76	92,075.76	4.55	0.00	0.00	0.00	9.21
Total Cash				92,075.76	92,075.76	4.55	0.00	0.00	0.00	9.21
Total Cash & Short Term				92,075.76	92,075.76	4.55	0.00	0.00	0.00	9.21
US Fixed Income										
Taxable Core										
COHEN & STEERS PREFERRED SECURITIES	CPXIX	12.79	8,976.95	112,668.95	114,815.20	5.68	2,146.27	1.90	0.00	7,648.36
DOUBLELINE TOTAL RET BD-I	DBLTX	10.96	24,959.26	279,566.50	273,553.50	13.53	-6,012.95	-2.15	0.00	14,201.81
PRUDENTIAL TOTAL RETURN BD-Z	PDBZX	14.00	7,786.24	113,928.85	109,007.33	5.39	-4,921.49	-4.32	0.00	4,009.91
Total Taxable Core				506,164.30	497,376.03	24.60	-8,788.17	-1.74	0.00	25,860.08
Extended Credit/High Yield										
BLACKROCK HIGH YIELD BOND	BHYIX	8.15	16,987.61	131,823.03	138,449.01	6.85	6,625.98	5.03	0.00	8,612.71
HARBOR CONVERTIBLE SEC-INST	HACSX	10.73	5,660.09	57,055.96	60,732.79	3.00	3,676.83	6.44	0.00	1,267.86
HARBOR HIGH YIELD BOND-INST	HYFAX	10.92	9,066.41	101,181.17	99,005.23	4.90	-2,175.93	-2.15	0.00	5,811.57
JPM STR INC OPP FD	JSOSX	11.84	6,018.03	72,216.37	71,253.49	3.52	-962.87	-1.33	126.38	2,100.29
JPM TR I MLT SC INCOME FD - SEL	JSISX	10.07	9,557.93	94,448.10	96,248.40	4.76	1,800.30	1.91	0.00	2,800.47
RIDGEWORTH SEIX FLOATING-I	SAMBX	8.99	12,987.52	117,796.82	116,757.82	5.77	-1,038.99	-0.88	0.00	5,156.04
TRP INST FLOATING RATE-F	PFFRX	10.22	10,773.30	110,975.68	110,103.10	5.44	-872.56	-0.79	0.00	4,804.89
Total Extended Credit/High Yield				685,497.13	692,549.84	34.25	7,052.76	1.03	126.38	30,553.83
Total US Fixed Income				1,191,661.43	1,189,925.87	58.85	-1,735.41	-0.15	126.38	56,413.91
Non-US Fixed Income										
Emerging Market										
PIMCO EMERGING MARKETS CORPORATE	PMIPX	11.39	4,928.01	56,107.72	56,130.06	2.78	22.35	0.04	0.00	2,059.90
Total Emerging Market				56,107.72	56,130.06	2.78	22.35	0.04	0.00	2,059.90
Total Non-US Fixed Income				56,107.72	56,130.06	2.78	22.35	0.04	0.00	2,059.90

Total Fixed Income & Cash	1,339,844.91	1,338,131.69	66.18	-1,713.06	-0.13	126.38	58,483.02

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