



**FRESENIUS  
MEDICAL CARE**

September 4, 2014

**RECEIVED**

SEP 05 2014

HEALTH FACILITIES &  
SERVICES REVIEW BOARD

Ms. Courtney Avery  
Administrator  
Illinois Health Facilities & Services Review Board  
525 West Jefferson, 2<sup>nd</sup> Floor  
Springfield, IL 62761

**Re: Second Annual Progress Report. Section 1130.760**  
**Project #12-029 BMA Southwestern Illinois**  
**Permit Holder:** Bio-Medical Applications of Illinois, Inc., and Fresenius  
Medical Care Holdings, Inc.  
**Permit Amount:** \$4,442,054

Dear Ms. Avery:

Enclosed please find the annual progress report which summarizes the current status of the above-mentioned project.

If you have any questions, please contact me at 630-960-6807.

Sincerely,

Lori Wright  
Senior CON Specialist

cc: Clare Ranalli

September 4, 2014

**Second Annual Progress Report, Section 1130.760**

**Project #12-029 BMA Southwestern Illinois**

**Permit Holder:** Bio-Medical Applications of Illinois, Inc., and Fresenius Medical Care Holdings, Inc.

**Permit Amount:** \$4,442,054

**This report summarizes the current status of the above-mentioned project. The project is located at 7 Professional Drive, Alton, IL. There have been no changes to the scope and size of this project. The Permit amount is \$4,442,054.**

**Status of the Project**

This is a project for the relocation of the 19-station Fresenius Medical Care Southwestern Illinois. The project was obligated through the execution of the lease for premises on October 30, 2012. The construction is complete and the facility relocated into the new premises on February 10, 2014. The facility is now awaiting CMS certification.

**Application and Certificate for Payment (AIA G702)**

See attached certificates.

**Anticipated Completion Date**

The project is currently approximately 99% complete and is expected to be completed by May 1, 2015.

## Sources and Uses of Funds

All project financing to date has been funded from available cash and its equivalents as reported on the company's financial statements. The right to occupy the premises is secured through a leasing arrangement. This leasing arrangement is utilized to obligate the project. None of the project costs have exceeded the approved permit amounts.

### Project Costs and Sources of Funds

Line Item	Allowance/CON	Realized Costs
Preplanning Costs	N/A	N/A
Site Survey & Soil Investigation	N/A	N/A
Site Preparation	N/A	N/A
Off-site work	N/A	N/A
New Construction Contracts	N/A	N/A
Modernization	1,454,506	1,089,375
Contingencies	159,893	0
Architectural/Engineering	160,000	72,517
Consulting and other fees	N/A	N/A
Movable & Other Equipment	500,000	208,849
Bond Issuance Expense	N/A	N/A
Net Interest Expense during Construction	N/A	N/A
FMV of Leased Space & Equipment	2,167,655	2,167,655
Other Costs to be Capitalized	N/A	N/A
Acquisition of Building or other Property (excluding land)	N/A	N/A
<b>Total Project Costs</b>	<b>4,442,054</b>	
<b>Realized Total Project Costs To Date</b>		<b>3,538,396</b>
Cash & Securities	2,274,399	1,370,741
Pledges	N/A	N/A
Gifts & Bequests	N/A	N/A
Bond Issues	N/A	N/A
Mortgages	N/A	N/A
Lease FMV	2,167,655	2,167,655
Gov. Approp	N/A	N/A
Grants	N/A	N/A
Other funds and Sources	N/A	N/A
<b>Total funds</b>	<b>4,442,054</b>	
<b>Total Spent to Date</b>		<b>3,538,396</b>

## Application and Certificate for Payment

**TO OWNER:** Fresenius Medical Care  
2219 Hollywood Blvd.  
Hollywood FL 33020

**PROJECT:** Southern Illinois  
1 Professional Drive  
Alton IL 62002

**APPLICATION NO:** 6

**Distribution to:**

**PERIOD TO:** 02/13/2014

OWNER

**CONTRACT FOR:**

ARCHITECT

**FROM CONTRACTOR:**

Modern Construction Corporation  
264 Rockland Road  
Hendersonville TN 37075

**VIA ARCHITECT:**

Christopher Kidd & Assoc. LLC  
N 48 W 16550 Lisbon Road  
Menomonie Falls WI 53061

**CONTRACT DATE:**

CONTRACTOR

**PROJECT NOS:** TREATMENT / CLINIC /

FIELD

OTHER

### CONTRACTOR'S APPLICATION FOR PAYMENT

Application is made for payment, as shown below, in connection with the Contract.  
Continuation Sheet, AIA Document G703, is attached.

1. ORIGINAL CONTRACT SUM ..... \$ 739,500.00

2. Net change by Change Orders ..... \$ 17,287.13

3. CONTRACT SUM TO DATE (Line 1 ± 2) ..... \$ 756,787.13

4. TOTAL COMPLETED & STORED TO DATE (Column G on G703) ..... \$ 756,787.13

5. RETAINAGE:

a. 0.00 % of Completed Work ..... \$ 0.00

b. 0.00 % of Stored Material (Column F on G703) ..... \$ 0.00

Total Retainage (Lines 5a + 5b or Total in Column I of G703) ..... \$ 0.00

6. TOTAL EARNED LESS RETAINAGE ..... \$ 756,787.13

(Line 4 Less Line 5 Total)

7. LESS PREVIOUS CERTIFICATES FOR PAYMENT ..... \$ 678,828.32

(Line 6 from prior Certificate)

8. CURRENT PAYMENT DUE ..... \$ 77,958.81

9. BALANCE TO FINISH, INCLUDING RETAINAGE (Line 3 less Line 6) ..... \$ 0.00

CHANGE ORDER SUMMARY	ADDITIONS	DEDUCTIONS
Total changes approved in previous months by Owner	\$	\$
Total approved this Month	\$	\$
<b>TOTALS</b>	<b>\$</b>	<b>\$</b>
<b>NET CHANGES by Change Order</b>	<b>\$</b>	<b>\$</b>

**CAUTION:** You should sign an original AIA Contract Document, on which this text appears in RED. An original assures that changes will not be obscured.

**AIA Document G702™ - 1992. Copyright © 1953, 1963, 1965, 1971, 1978, 1983 and 1992 by The American Institute of Architects. All rights reserved. WARNING:** This AIA Document is protected by U.S. Copyright Law and International Treaties. Unauthorized reproduction or distribution of this AIA Document, or any portion of it, may result in severe civil and criminal penalties and will be prosecuted to the maximum extent possible under the law. Purchasers are permitted to reproduce ten (10) copies of this document when completed. To report copyright violations of AIA Contract Documents, e-mail The American Institute of Architects legal counsel, [copyright@aia.org](mailto:copyright@aia.org).

The undersigned Contractor certifies that to the best of the Contractor's knowledge, information and belief the Work covered by this Application for Payment has been completed in accordance with the Contract Documents, that all amounts have been paid by the Contractor for Work for which previous Certificates for Payment were issued and payments received from the Owner, and that current payment shown herein is now due.

**CONTRACTOR**

By: *[Signature]* Date: *February 18, 2014*

State of: *Illinois*

County of: *Sumner*

Subscribed and sworn to before me this *18* day of *February*, 2014.

Notary Public: *Gina Burkhusen*

My Commission expires: *September 20, 2014*

**ARCHITECT'S CERTIFICATE FOR PAYMENT**

In accordance with the Contract Documents, based on on-site observations and the data comprising this application, the Architect certifies to the best of the Architect's knowledge, information and belief the Work has progressed as indicated, the quality of the Work is in accordance with the Contract Documents, and the Contractor is entitled to payment of the AMOUNT CERTIFIED.

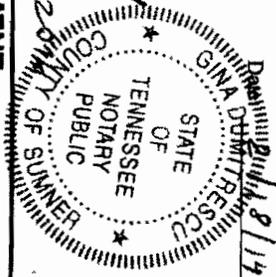
AMOUNT CERTIFIED ..... \$ **77,958.81**

(Attach explanation if amount certified differs from the amount applied. Initial all figures on this Application and on the Continuation Sheet that are changed to conform with the amount certified.)

**ARCHITECT**

By: *[Signature]* Date: *2/24/14*

This Certificate is not negotiable. The AMOUNT CERTIFIED is payable only to the Contractor named herein. Issuance, payment and acceptance of payment are without prejudice to any rights of the Owner or Contractor under this Contract.



# AIA<sup>®</sup> Document G702™ - 1992

## Application and Certificate for Payment

**TO OWNER:** Fresenius Medical Care  
 2219 Hollywood Blvd.  
 Hollywood FL 33020

**PROJECT:** Southern Illinois  
 1 Professional Drive  
 Alton IL 62002

**FROM CONTRACTOR:**  
 Modern Construction Corporation  
 254 Rockland Road  
 Hendersonville TN 37075

**VIA ARCHITECT:**  
 Christopher Kidd & Assoc. LLC  
 N 48 W 18550 Lisbon Road  
 Menomonee Falls WI 53051

**APPLICATION NO.:** 6  
**PERIOD TO:** 02/13/2014  
**CONTRACT FOR:**  
**CONTRACT DATE:**  
**PROJECT NOS.:** HHD  
**Distribution to:**  
 OWNER   
 ARCHITECT   
 CONTRACTOR   
 FIELD   
 OTHER

### CONTRACTOR'S APPLICATION FOR PAYMENT

Application is made for payment, as shown below, in connection with the Contract.  
 Continuation Sheet, AIA Document G703, is attached.

1. ORIGINAL CONTRACT SUM ..... \$ 180,500.00
  2. Net change by Change Orders ..... \$ 0.00
  3. CONTRACT SUM TO DATE (Line 1 + 2) ..... \$ 180,500.00
  4. TOTAL COMPLETED & STORED TO DATE (Column G on G703) ..... \$ 180,500.00
  5. RETAINAGE:
    - a. 0.00 % of Completed Work ..... \$ 0.00
    - (Column D + E on G703)
    - b. 0.00 % of Stored Material ..... \$ 0.00
    - (Column F on G703)
- Total Retainage (Lines 5a + 5b or Total in Column I of G703) ..... \$ 0.00
6. TOTAL EARNED LESS RETAINAGE ..... \$ 180,500.00  
 (Line 4 Less Line 5 Total)
7. LESS PREVIOUS CERTIFICATES FOR PAYMENT ..... \$ 162,450.00  
 (Line 6 from prior Certificate)
8. CURRENT PAYMENT DUE ..... \$ 18,050.00
9. BALANCE TO FINISH, INCLUDING RETAINAGE ..... \$ 0.00  
 (Line 3 less Line 6)

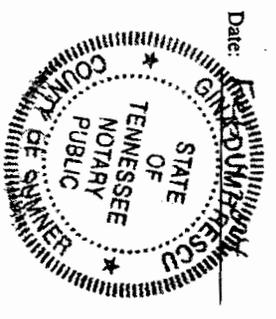
CHANGE ORDER SUMMARY	ADDITIONS	DEDUCTIONS
Total changes approved in previous months by Owner	\$	\$
Total approved this Month	\$	\$
<b>TOTALS</b>	<b>\$</b>	<b>\$</b>
NET CHANGES by Change Order	\$	\$

**CAUTION:** You should sign an original AIA Contract Document, on which this text appears in RED. An original assures that changes will not be obscured.

**AIA Document G702™ - 1992. Copyright © 1993, 1995, 1971, 1978, 1983 and 1992 by The American Institute of Architects. All rights reserved.** WARNING: This AIA™ Document is protected by U.S. Copyright Law and International Treaties. Unauthorized reproduction or distribution of this AIA™ Document, or any portion of it, may result in severe civil and criminal penalties, and will be prosecuted to the maximum extent possible under the law. Purchasers are permitted to reproduce ten (10) copies of this document when completed. To report copyright violations of AIA Contract Documents, e-mail The American Institute of Architects' legal counsel, copyright@aia.org.

The undersigned Contractor certifies that to the best of the Contractor's knowledge, information and belief the Work covered by this Application for Payment has been completed in accordance with the Contract Documents, that all amounts have been paid by the Contractor for Work for which previous Certificates for Payment were issued and payments received from the Owner, and that current payment shown herein is now due.

**CONTRACTOR:** \_\_\_\_\_  
 By: \_\_\_\_\_  
 State of: TN  
 County of: Sumner  
 Subscribed and sworn to before me this 14th day of February, 2014  
 Notary Public: Gina Dumitrescu  
 My Commission expires: September 20, 2016



### ARCHITECT'S CERTIFICATE FOR PAYMENT

In accordance with the Contract Documents, based on on-site observations and the data comprising this application, the Architect certifies to the Owner that to the best of the Architect's knowledge, information and belief the Work has progressed as indicated, the quality of the Work is in accordance with the Contract Documents, and the Contractor is entitled to payment of the AMOUNT CERTIFIED.

**AMOUNT CERTIFIED** ..... \$ 18,050.00  
 (Attach explanation if amount certified differs from the amount applied. Initial all figures on this Application and on the Continuation Sheet that are changed to conform with the amount certified.)

**ARCHITECT:** \_\_\_\_\_  
 By: \_\_\_\_\_  
 Date: 2/24/14

**APPLICATION AND CERTIFICATE FOR PAYMENT**

**AIA DOCUMENT G702**

TO (OWNER): Fresenius Medical Care PROJECT: Fresenius 1647-2  
 FROM (CONTR.): Cohen Architectural Woodworking VIA (ARCHITECT): Alton, IL  
 CONTRACT FOR: Millwork & Installation

APPLICATION NO: 5  
 PERIOD TO: 11/30/2013  
 CONTRACTOR'S PROJECT NO:  
 CONTRACTOR DATE:

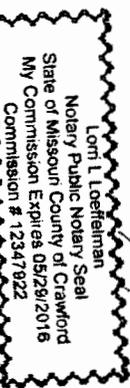
Distribution to:  
 OWNER:  
 ARCHITECT  
 CONTRACTOR

**CONTRACTOR'S APPLICATION FOR PAYMENT**

CHANGE ORDER SUMMARY		ADDITIONS	DEDUCTIONS
Change Orders approved in previous months by Owner		TOTAL	
Approved this month	Date Approved		
CO 1		900	
TOTALS		900	0
Net change by Change Orders		900	0

The undersigned Subcontractor certifies that to the best of Subcontractor's knowledge, information and belief the Work covered by this Application for Payment has been completed in accordance with the Contract Documents, that all amounts have been paid by the Contractor for Work for which previous Certificates for Payment were issued and payments received from the Owner, and that current payment shown herein is now due.

CONTRACTOR:   
 Date: 12/10/13



**ARCHITECT'S CERTIFICATE FOR PAYMENT**

In accordance with the contract Documents, based on on-site observations and the data comprising the above application, the Architect certifies to the Owner that to the best of the Architect's knowledge, information and belief the Work has progressed as indicated the quality of the Work is in accordance with the Contract Documents, and the Contractor is entitled to payment of the AMOUNT CERTIFIED.

Application is made for Payment, as shown below, in connection with the Contract. Continuation Sheet, AIA Document G703, is attached.

1. ORIGINAL CONTRACT SUM \$ 151,188.00
2. Net change by Change Orders \$ 900.00
3. CONTRACT SUM TO DATE (Line 1 + 2) \$ 152,088.00
4. TOTAL COMPLETED & STORED TO DATE (Column G on G703) \$ 152,088.00
5. RETAINAGE:
  - a. % of Completed Work
  - b. 100 % of Stored Material

Total Retainage (Line 5a + 5b or Total in Column I of G703) \$ -  
 6. TOTAL EARNED LESS RETAINAGE \$ 152,088.00  
 (Line 4 less Line 5 Total)  
 7. LESS PREVIOUS CERTIFICATES FOR PAYMENT \$ 136,879.20  
 (Line 6 from prior Certificate)  
 8. CURRENT PAYMENT DUE \$ 15,208.80  
 9. BALANCE TO FINISH, INCLUDING RETAINAGE \$ -

State of: Missouri County of: Phelps  
 Subscribed and sworn to before me this 10th day of Dec 2013  
 Notary Public: Lori L. Loeffelman  
 My Commission expires: 5/28/2016

AMOUNT CERTIFIED \$ 15,208.80  
 (Attach explanation if amount certified differs from the amount applied for.)

ARCHITECT:   
 Date: 12/10/13

NOTICE: PROPERTY OWNERS IMPORTANT INFORMATION  
 REVERSING MECHANICS LIENS ON REVERSE SIDE

DEC 16 2013