



Illinois Ethics Matters

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Honesty, Integrity, Service

A newsletter from the Office of Executive Inspector General for the Agencies
of the Illinois Governor

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Recent News

On August 26, 2013, the EEC issued a revolving door determination decision:

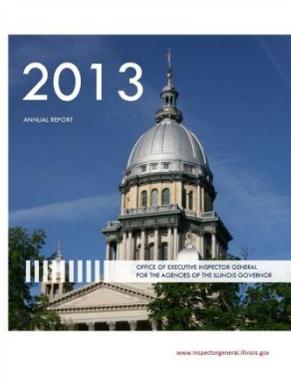
- *In re: Agnes Mrozowski*, 14-EEC-002, the EEC affirmed the OEIG's determination and found that Ms. Mrozowski's proposed employment would violate the Ethics Act.

On September 10, 2013, an OEIG investigative report was publicly disclosed:

- *In re: Ruddy Ortiz and Maria Lazic*, 11-00434, involved CMS employees who violated agency policy. Mr. Ortiz was terminated and Ms. Lazic was suspended for 30 days.

In other news, the OEIG is pleased to announce two recent staff additions, effective September 3, 2013. **Ryan Donaldson** will serve as assistant inspector general for the regional transit board investigative division and **David Sanchez** will serve as assistant inspector general for the Chicago general investigative division.

OEIG Releases FY 2013 Annual Report



The OEIG has released its FY 2013 Annual Report, which summarizes our work during the period of July 1, 2012 to June 30, 2013. Among other information, the report contains summaries of:

- 22 publicly disclosed investigative reports;
- 5 administrative disciplinary decisions; and
- 9 revolving door determination appeals.

The report also summarizes the OEIG's operational performance and contains key performance indicators, such as the record number of complaints received (2,809) during FY 2013, as well as discussion of the sources of our state funding and our use of those resources.

Each of the 22 publicly disclosed final investigative reports summarized in our annual report may also be found in full redacted

form on our website. Each investigative report documents:

- the allegations of misconduct, whether received or self-initiated by the OEIG;
- facts uncovered or sought by the OEIG during the investigation;
- an analysis of the facts in comparison to applicable law, rule, regulation, or policy; and
- any recommendations to the appropriate ultimate jurisdictional authority or agency head.

In addition, our reports may set forth other information we deem relevant to the investigation or resulting recommendations, which may include documenting reasons why we are unable to obtain information during the course of an investigation. Despite possessing subpoena power, there are times when we are unable to obtain evidence such as when documents or other material has been lost or destroyed. In addition, persons may invoke their Fifth Amendment right to remain silent or public entities may deny us access to information on legal grounds including by asserting attorney-client, attorney work product, or executive session privilege. In these circumstances, as reflected in our published reports, we will generally note this fact.

We continue to focus our resources on investigations that involve significant wrongdoing, have the potential to influence future public employee conduct, or expose systemic misconduct.

Please contact our office if you would like a printed copy of our FY 2013 Annual Report.

Ricardo Meza

Executive Inspector General

Calendar of Events: *September 17 - 27, 2013:* Investigator Ortega will attend Essentials of Inspector General Investigations training in Glynco, GA; *September 26, 2013:* OEIG staff will participate in the U of I-Springfield Foot in the Door (job) Fair in Springfield, IL; *October 29, 2013:* OEIG staff will provide a presentation during the SIAAB Fall Government Auditing Conference in Springfield, IL; and *November 6-8, 2013:* various investigative staff will attend the Association of Inspectors General Fall Conference 2013 in New Orleans, LA.