



Illinois Ethics Matters

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Honesty, Integrity, Service

A newsletter from the Office of Executive Inspector General for the Agencies of the Illinois Governor

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Recent News

The OEIG is pleased to announce the following staff changes, effective February 2, 2015:

- **Daniel Hurtado** was named Chief of Staff, in addition to his duties as General Counsel.
- **Fallon Opperman** was named Deputy Inspector General and Chief of the Chicago Division.
- **Thomas Klein** returned to the OEIG as Deputy Inspector General and Chief of the Springfield Division.
- **Brandon Myers** was named Deputy Inspector General and Chief of the Regional Transit Board Division.
- **Kristy Shores** was named Special Counsel to the OEIG.
- **Debbie Yang** joined the office as an Administrative Assistant in the Complaints & Compliance Division.

OEIG Revolving Door Determination Requests Substantially Increase in 4th Quarter of 2014



The number of requests for revolving door determinations increased significantly in the 4th Quarter of 2014. While the OEIG averaged 32.75 requests over the final quarters of FYs 2010-13,

the OEIG received 50 requests in the final quarter of FY 14. The number of requests received annually has increased.

State agencies are required to annually notify employees who may be personally and substantially involved in contracting, licensing, and regulatory decisions of the requirement to seek an OEIG determination prior to accepting any job offer during the first 12 months after leaving State employment.

In order to seek an OEIG determination regarding a non-state job offer, a state employee must submit a notification form to the OEIG, along with a statement by the ethics officer of his/her state agency. The OEIG then issues a determination within 10 calendar days as to whether the employee is either “restricted,” meaning not allowed to accept the job, or “not restricted,” meaning that he or she is allowed to accept the job. The determination is based on the employee’s role in matters affecting the prospective employer.

The Ethics Act also prohibits certain senior leadership from accepting employment with an entity that contracted with or was regulated by their agency, even if they were not personally and substantially involved.

Antoinette Kwateng
Deputy Inspector General, Complaints & Compliance

US Attorney Acknowledges OEIG Assistance

On January 27, 2015, the United States Attorney for the Southern District of Illinois announced an indictment. http://www.justice.gov/usao/ils/News/2015/Jan/01262015_Mister%20Press%20Release.html.

A federal grand jury determined that Kwa Mister, Program Manager for Southern Illinois University, made misrepresentations to federal agents in violation of federal law, and misrepresented his relationship with Phoenix Support Services at the time he awarded that company a contract, and again when questioned by investigators.

The indictment charges Mr. Mister with lying to SIU and to federal investigators. In its press release, the USAO acknowledged the assistance of the OEIG. It also reminded the public that an indictment is a formal charge against a defendant and that, under the law, a defendant is presumed to be innocent of a charge until proved guilty beyond a reasonable doubt to the satisfaction of a jury.

Daniel Hurtado
Chief of Staff & General Counsel