

AGENDA

AUDIT & COMPLIANCE COMMITTEE FRIDAY, SEPTEMBER 28, 2012 – 8:30 A.M.

- I. Roll Call.
- II. Minutes of Previous Meeting (06/15/12). ^{A*}
- III. Report of the A&CC Chair.
- IV. Status of FY 2012 Audit.
- V. Staff Personal Transaction Reports (06/30/12).
- VI. Commission Recapture Report (06/30/12). ^{A*}
- VII. Record of Vouchers (April, May and June 2012). ^{A*}
- VIII. Recommendation on Risk Assessment Process. ^{A*}
- IX. Ethics Matters:
 - A. Review of 2012/2013 Fiduciary Training.
 - B. Placement Agent Contact Report (06/30/12). ^{A*}
 - C. Appointed Board Member Timesheets.
- X. Unfinished Business.
- XI. New Business.
- XII. Next Meeting (12/14/12) and Adjournment.

^A Action Required
^{*} Attachment