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APPLICATION FOR STATE OF ILLINOIS WINERY SHIPPER'S LICENSE ("DIRECT SHIPPING PERMIT")

DEFINITION: A Winery Shipper's License shall allow a person with a first-class or second-class wine manufacturer's license, a first-class or second-class wine-maker's license or a limited wine manufacturer's license or who is licensed to make wine under the laws of another state to ship wine made by that licensee directly to a resident of this State who is 21 years of age or older for that resident's personal use and not for resale.

The following are considered categories of winery shipper's licenses. **Please check ONE of the categories listed below and on Page 2** that applies to the type/class of license for which application is being made. Be sure to obtain and complete all of the required supporting documents for the particular license class.

A. WINERY SHIPPER'S LICENSE FOR ILLINOIS FIRST-CLASS WINE MANUFACTURER FEE: \$150.00

A first-class wine manufacturer who obtains a Winery Shipper's License can sell directly to Illinois residents (ie, anyone in the general public who is 21 years of age or older) up to 12 cases per adult resident per year. A first-class wine manufacturer who produces less than 25,000 gallons per year may apply for a self-distribution exemption (attached) allowing for the sale of not more than 5,000 gallons of wine to retail licensees per year. **SUPPORTING DOCUMENTS REQUIRED:**

- 1) **COPY OF CURRENT STATE OF ILLINOIS MANUFACTURER'S LIQUOR LICENSE;**
- 2) **COPY OF ALL FEDERAL LABEL APPROVAL(S) FOR ANY PRODUCT BEING DIRECTLY SHIPPED TO ILLINOIS RESIDENTS (Note: All products must be registered with the Commission prior to, or with, this application).**
- 3) **A TAX BOND IS REQUIRED (see attached bond forms. Questions about tax bonds? Please call 217-782-6045).**

B. WINERY SHIPPER'S LICENSE FOR ILLINOIS SECOND-CLASS WINE MANUFACTURER FEE: See below

A second-class wine manufacturer who obtains a Winery Shipper's License can sell directly to Illinois residents (ie, anyone in the general public who is 21 years of age or older) up to 12 cases per adult resident per year. Please note, a second-class wine manufacturer likely produces more than 25,000 gallons of wine per year and therefore **WILL NOT QUALIFY** for a self-distribution exemption. **SUPPORTING DOCUMENTS REQUIRED:**

- 1) **COPY OF CURRENT STATE OF ILLINOIS MANUFACTURER'S LIQUOR LICENSE;**
- 2) **COPY OF ALL FEDERAL LABEL APPROVAL(S) FOR ANY PRODUCT BEING DIRECTLY SHIPPED TO ILLINOIS RESIDENTS (Note: All products must be registered with the Commission prior to, or with, this application).**
- 3) **A TAX BOND IS REQUIRED (see attached bond forms. Questions about tax bonds? Please call 217-782-6045).**

Winery Shipper's License Fees for Second-Class Wine Manufacturers:

- CLASS 1 (not to exceed 250,000 gallons manufactured annually): \$150.00
- CLASS 2 (not to exceed 500,000 gallons manufactured annually): \$500.00
- CLASS 3 (over 500,000 gallons manufactured annually): \$1,000.00

C. WINERY SHIPPER'S LICENSE FOR ILLINOIS FIRST-CLASS WINE MAKER**FEE: \$150.00**

A first-class wine maker who obtains a Winery Shipper's License can sell directly to Illinois residents (ie, anyone in the general public who is 21 years of age or older) up to 12 cases per adult resident per year. A first-class wine maker's license shall allow the manufacture of up to 50,000 gallons of wine per year, and the storage and sale of such wine to distributors in the State and to persons without the State, as may be permitted by law. A first-class wine maker who produces less than 25,000 gallons per year may apply for a self-distribution exemption (attached) allowing for the sale of not more than 5,000 gallons of wine to retail licensees per year. **SUPPORTING DOCUMENTS REQUIRED:**

- 1) **COPY OF CURRENT STATE OF ILLINOIS MANUFACTURER'S LIQUOR LICENSE;**
- 2) **COPY OF ALL FEDERAL LABEL APPROVAL(S) FOR ANY PRODUCT BEING DIRECTLY SHIPPED TO ILLINOIS RESIDENTS (Note: All products must be registered with the Commission prior to, or with, this application).**
- 3) **A TAX BOND IS REQUIRED (see attached bond forms. Questions about Tax Bonds? Please call 217-782-6045).**

D. WINERY SHIPPER'S LICENSE FOR ILLINOIS SECOND-CLASS WINE MAKER**FEE: See below**

A second-class wine maker who obtains a Winery Shipper's License can sell directly to Illinois residents (ie, anyone in the general public who is 21 years of age or older) up to 12 cases per adult resident per year. Please note, a second-class wine maker likely produces more than 25,000 gallons of wine per year and therefore **WILL NOT QUALIFY** for a self-distribution exemption. **SUPPORTING DOCUMENTS REQUIRED:**

- 1) **COPY OF CURRENT STATE OF ILLINOIS MANUFACTURER'S LIQUOR LICENSE;**
- 2) **COPY OF ALL FEDERAL LABEL APPROVAL(S) FOR ANY PRODUCT BEING DIRECTLY SHIPPED TO ILLINOIS RESIDENTS (Note: All products must be registered with the Commission prior to, or with, this application).**
- 3) **A TAX BOND IS REQUIRED (see attached bond forms. Questions about Tax Bonds? Please call 217-782-6045).**

Winery Shipper's License Fees for Second-Class Wine Manufacturers:

- CLASS 1 (not to exceed 250,000 gallons manufactured annually): \$150.00
- CLASS 2 (not to exceed 500,000 gallons manufactured annually): \$500.00
- CLASS 3 (over 500,000 gallons manufactured annually): \$1,000.00

E. WINERY SHIPPER'S LICENSE FOR ILLINOIS LIMITED WINE MANUFACTURER**FEE: \$150.00**

A limited wine manufacturer who obtains a Winery Shipper's License can sell directly to Illinois residents (ie, anyone in the general public who is 21 years of age or older) up to 12 cases per adult resident per year. A limited wine-manufacturer may make sales and deliveries not to exceed 40,000 gallons of wine per year to distributors, and to non-licensees in accordance with the Illinois Liquor Control Act. A limited wine manufacturer who produces less than 25,000 gallons per year may apply for a self-distribution exemption (attached) allowing for the sale of not more than 5,000 gallons of wine to retail licensees per year. **SUPPORTING DOCUMENTS REQUIRED:**

- 1) **COPY OF CURRENT STATE OF ILLINOIS MANUFACTURER'S LIQUOR LICENSE;**
- 2) **COPY OF ALL FEDERAL LABEL APPROVAL(S) FOR ANY PRODUCT BEING DIRECTLY SHIPPED TO ILLINOIS RESIDENTS (Note: All products must be registered with the Commission prior to, or with, this application).**
- 3) **A TAX BOND IS REQUIRED (see attached bond forms. Questions about Tax Bonds? Please call 217-782-6045).**

F. OUT-OF-STATE WINERY SHIPPER'S LICENSE**FEE: See below**

An Out-of-State Winery Shipper's License shall allow a person who is licensed to make wine under the laws of another state to sell directly to Illinois residents (ie, anyone in the general public who is 21 years of age or older) up to 12 cases per adult resident per year. This wine must be for personal use and not for resale. An out-of-state winery must provide the Commission with a copy of its current license in the state in which it is licensed as a manufacturer. An out-of-state winery who produces less than 25,000 gallons per year may apply for a self-distribution exemption (attached) allowing for the sale of not more than 5,000 gallons of wine to retail licensees per year. **SUPPORTING DOCUMENTS REQUIRED:**

- 1) **COPY OF CURRENT STATE MANUFACTURER'S LIQUOR LICENSE;**
- 2) **COPY OF ALL FEDERAL LABEL APPROVAL(S) FOR ANY PRODUCT BEING DIRECTLY SHIPPED TO ILLINOIS RESIDENTS (Note: All products must be registered with the Commission prior to, or with, this application).**
- 3) **A TAX BOND IS REQUIRED (see attached bond forms. Questions about Tax Bonds? Please call 217-782-6045).**

Winery Shipper's License Fees for Second-Class Wine Manufacturers:

- CLASS 1 (not to exceed 250,000 gallons manufactured annually): \$150.00
- CLASS 2 (not to exceed 500,000 gallons manufactured annually): \$500.00
- CLASS 3 (over 500,000 gallons manufactured annually): \$1,000.00

**FOR OFFICE
USE ONLY**

LICENSE NO.

ILLINOIS BUSINESS TAX # (DO NOT FILL IN SPACE BELOW)

DATE ISSUED

EXPIRATION DATE

COUNTER

Application for State of Illinois Winery Shipper's Liquor License

1. APPLICANT - CORPORATE INFORMATION

If you want your renewal application, your license certificate and other ILCC correspondence sent to your "corporate" address, please check this box.

A. CURRENT ILLINOIS LIQUOR LICENSE NUMBER

If applicable, enter your current Illinois Liquor License number in the box below. Current licensee: Please ensure all your brands/products are registered in the State of Illinois. If your brands are not properly registered, please complete the attached Registration Statement and attach copies of your federal label approvals.

ILLINOIS LIQUOR LICENSE # (IF APPLICABLE)

B. FEDERAL EMPLOYER IDENTIFICATION NUMBER (FEIN)

Enter the nine-digit Federal Employer Identification Number (FEIN) in the box below. **YOU MUST HAVE THIS NUMBER IN ORDER FOR A LICENSE TO BE ISSUED.** If you need to obtain an FEIN number, call 1-800-829-3676 for general information on how to apply and to obtain the forms you will need.

FEIN #

C. TELEPHONE

Enter the area code/telephone number/extension of the sole proprietorship, corporation, etc.

AREA CODE/TELEPHONE NO.
EXT.

D. ILLINOIS COUNTY

Enter the Illinois county where the sole proprietorship, corporation, etc. is located. If outside of Illinois, enter "out-of-state."

ILLINOIS COUNTY (ENTER "OUT-OF-STATE" IF OUTSIDE ILLINOIS)

E. NAME

Enter the name of the sole proprietorship (assumed name), partnership, corporation (Illinois, national, or foreign), or limited liability company in this box. **NOTE: This name must be consistent with the name printed on your federal basic permit.**

NAME

F. ADDRESS

Enter the street address, city, state, and Zip Code of the sole proprietorship, corporation, etc..

ADDRESS	CITY	STATE	ZIP CODE

2. STATUS OF BUSINESS

Check the applicable box (assumed name/sole proprietorship, partnership, Illinois corporation, foreign corporation, limited liability company) which corresponds to your business' official papers filed with the Office of the Secretary of State.

Based on the box that you check, provide the date of the filing of the sole proprietorship/assumed name with the county clerk; in the case of a co-partnership, the date of formation of the partnership; in the case of an Illinois corporation, the date of its incorporation; in the case of a foreign corporation, the foreign state where it was incorporated and the date, as well as the date of its becoming qualified under the "Business Corporation Act of 1983" to transact business in the State of Illinois; in the case of a limited partnership, the date of formation of such partnership; or in the case of a limited liability company, the date of formation of such entity.

- A. ASSUMED NAME DATE FILED WITH COUNTY CLERK: _____
- B. PARTNERSHIP DATE OF FORMATION: _____
- C. ILLINOIS CORPORATION DATE OF INCORPORATION: _____
- D. FOREIGN CORPORATION STATE OF INCORPORATION: _____ DATE QUALIFIED TO DO BUSINESS IN IL: _____
- E. LIMITED LIABILITY COMPANY DATE FORMED: _____

3. OWNERSHIP INFORMATION

Provide the owner/officer/partner information in accordance with the business status described under Question 2. This information must be submitted for all owners/officers/partners. The same information must be submitted for shareholders with interests equal to or exceeding 5%.

The following information must be provided by every individual applicant, sole proprietor, partner, corporate officer, managing member, manager, or agent of the business, and any person owning equal to or more than 5% of the business. If additional space is needed, provide information on a separate sheet(s) in the same format as this application requires. **All Not-for-profit organizations and associations must provide the requested information for all corporate officers, directors and managers.**

Percentage ownership should equal 100%. If there are a number of owners with less than 5% ownership, indicate the aggregate total of ownership under E.

A.

NAME (LAST, FIRST, MIDDLE INITIAL)			HOME ADDRESS		CITY	STATE	ZIP
SOCIAL SECURITY NO.	DATE OF BIRTH	SEX	TITLE/POSITION		AREA CODE/TELEPHONE NO.		% OWNED

B.

NAME (LAST, FIRST, MIDDLE INITIAL)			HOME ADDRESS		CITY	STATE	ZIP
SOCIAL SECURITY NO.	DATE OF BIRTH	SEX	TITLE/POSITION		AREA CODE/TELEPHONE NO.		% OWNED

C.

NAME (LAST, FIRST, MIDDLE INITIAL)			HOME ADDRESS		CITY	STATE	ZIP
SOCIAL SECURITY NO.	DATE OF BIRTH	SEX	TITLE/POSITION		AREA CODE/TELEPHONE NO.		% OWNED

D.

NAME (LAST, FIRST, MIDDLE INITIAL)			HOME ADDRESS		CITY	STATE	ZIP
SOCIAL SECURITY NO.	DATE OF BIRTH	SEX	TITLE/POSITION		AREA CODE/TELEPHONE NO.		% OWNED

E. TOTAL PERCENTAGE OF ALL STOCK HELD BY ALL PERSONS WITH LESS THAN 5% INTEREST _____ %

4. ELIGIBILITY QUESTIONS

These questions apply to the applicant and any other person listed under Section 3. These questions MUST be answered. IF THE QUESTIONS ARE NOT CHECKED, THE APPLICATION WILL BE REJECTED. If any question is checked "yes", a written, detailed explanation is required and must be attached to this application.

- 4-1 YES NO HAVE YOU FAILED OR NEGLECTED TO REGISTER WITH THE FEDERAL TAX & TRADE BUREAU (TTB)? IF SO, PLEASE CONTACT THE TTB AT 800-937-8864 OR 513-684-2979.
- 4-2 YES NO HAVE YOU FAILED OR NEGLECTED TO REGISTER WITH THE ILLINOIS LIQUOR CONTROL COMMISSION ALL BRANDS/PRODUCTS MADE OR DIRECTLY SHIPPED INTO ILLINOIS?
- 4-3 YES NO DO YOU PRODUCE MORE THAN 25,000 GALLONS OF WINE PER YEAR? (no written explanation necessary)
- 4-4 YES NO HAVE YOU EVER BEEN CONVICTED OF A VIOLATION OF ANY FEDERAL OR STATE LAW CONCERNING THE MANUFACTURE, POSSESSION, OR SALE OF ALCOHOLIC LIQUOR, SUBSEQUENT TO THE PASSAGE OF THE ILLINOIS LIQUOR CONTROL ACT OR HAVE YOU FORFEITED YOUR BOND TO APPEAR IN COURT TO ANSWER CHARGES FOR ANY SUCH VIOLATION?
- 4-5 YES NO ARE YOU DELINQUENT IN THE PAYMENT OF ANY ILLINOIS BUSINESS TAXES (SALES, WITHHOLDING, ETC.)?
- 4-6 YES NO HAVE YOU EVER BEEN DENIED QUALIFICATION UNDER THE BUSINESS CORPORATION ACT OF 1983 TO TRANSACT BUSINESS IN ILLINOIS?
- 4-7 YES NO HAVE YOU EVER APPLIED FOR AND BEEN DENIED A LIQUOR LICENSE?
- 4-8 YES NO HAVE YOU HAD ANY PREVIOUS LIQUOR LICENSE REVOKED?
- 4-9 YES NO HAVE YOU EVER BEEN CONVICTED OF A FELONY?
- 4-10 YES NO HAVE YOU EVER BEEN CONVICTED OF A GAMBLING OFFENSE AS DEFINED UNDER SECTION 5/6-2 OF THE ACT WHICH INCLUDES OFFENSES ENUMERATED IN 720 ILCS 5/28-1(a)1-11, "GAMBLING;" 720 ILCS 5/28-1.1(a)-(d) "SYNDICATED GAMBLING;" AND 720 ILCS 5/28-3 "KEEPING A GAMBLING PLACE"?
- 4-11 YES NO ARE YOU, OR ANY OTHER PERSON WITH A DIRECT INTEREST IN YOUR PLACE OF BUSINESS, A PUBLIC OFFICIAL OR LAW ENFORCEMENT OFFICIAL IN THE SAME JURISDICTION AS THE LICENSE?
- 4-12 YES NO HAVE YOU RECEIVED OR BORROWED MONEY OR ANYTHING OF VALUE DIRECTLY OR INDIRECTLY FROM ANY OTHER LICENSEES, REPRESENTATIVES OF A LICENSEE, OR SUPPLIERS OF ALCOHOLIC PRODUCTS?
- 4-13 YES NO IF OPERATING AS A SOLE PROPRIETORSHIP OR A PARTNERSHIP, ARE YOU OR YOUR PARTNER(S) CURRENTLY NOT CITIZENS OF THE UNITED STATES OR RESIDENT ALIENS WITH LEGAL STATUS?
- 4-14 YES NO ARE YOU THE ACTUAL MANUFACTURER OF THE PRODUCT(S) DESCRIBED HEREIN?

5. ILLINOIS DEPT. OF REVENUE TAX REQUIREMENTS

Once your State of Illinois Winery Shipper's License is issued, the Illinois Dept. of Revenue (IDOR) will be mailing you a Wine Shipper's Tax form (RL-26-W). Once you receive this form, you will have the option of filing this tax return and paying the tax due via the IDOR Electronic Filing Program at www.tax.illinois.gov (this option is free-of-charge) OR by mail. If you have tax-related questions, please call 217-782-6045.

6. SIGNATURE/TITLE/DATE

Please sign and date the application form and provide your title with the organization. The application must be signed by an owner, an officer, a partner or an officially authorized agent of the business. The signature must be an original, rubber stamps are not accepted.

I, THE UNDERSIGNED APPLICANT OR AUTHORIZED AGENT THEREOF, SWEAR OR AFFIRM THAT: THE MATTERS STATED IN THE FOREGOING APPLICATION ARE TRUE AND CORRECT; THEY ARE MADE UPON MY PERSONAL KNOWLEDGE AND INFORMATION; THEY ARE MADE FOR THE PURPOSE OF REQUESTING THE STATE OF ILLINOIS TO ISSUE THE LICENSE HEREIN APPLIED FOR; THE APPLICANT IS QUALIFIED AND ELIGIBLE TO OBTAIN THE LICENSE APPLIED FOR; AND THE APPLICANT WILL NOT VIOLATE ANY OF THE LAWS OF THE UNITED STATES OF AMERICA OR THE STATE OF ILLINOIS, IN PARTICULAR, THE ILLINOIS LIQUOR CONTROL ACT, RULES AND REGULATIONS, AND THE CIVIL RIGHTS SECTIONS THEREOF.

FURTHER, I AGREE TO NOTIFY THIS COMMISSION WITHIN 30 WORKING DAYS OF CHANGES IN ANY OF THE ABOVE INFORMATION. (NOTE: IF THE PERSON SIGNING THIS APPLICATION IS NOT LISTED IN SECTION 3, THEY MUST PROVIDE THE STATE WITH THEIR PERSONAL INFORMATION AS INDICATED IN SECTION 3 EVEN IF THEY DO NOT OWN 5% OR MORE OF THE BUSI-

SIGNATURE OF APPLICANT/AUTHORIZED AGENT

TITLE/POSITION

DATE



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ILLINOIS LIQUOR LICENSE # (IF APPLICABLE)

SELF-DISTRIBUTION EXEMPTION

(for in-state and out-of-state wineries)

TYPE OR PRINT INFORMATION

APPLICANT'S NAME (Individual or Corporation)		FEIN #
BUSINESS NAME (DBA)		BUSINESS PHONE ()
BUSINESS STREET ADDRESS		
CITY	STATE	ZIP
<p><i>I do hereby agree, if asked, that I will provide the following information to the Illinois Liquor Control Commission:</i></p> <p><i>a) Date business was established;</i></p> <p><i>b) Volume of production of sales for each year since business was established;</i></p> <p><i>c) Explanation of our efforts to establish distributor relationships;</i></p> <p><i>d) Explanation for the need to obtain a self-distribution exemption to facilitate the marketing of wine;</i></p> <p><i>I do hereby swear or affirm that I will comply with the liquor and revenue laws of the United States, Illinois, and any other state where I am licensed; I am not a member of any affiliated group that produces more than 25,000 gallons of wine per year or produces any other alcoholic liquor; and I will not annually produce more than 25,000 gallons of wine per year and will not annually sell more than 5,000 gallons of wine to retail licensees.</i></p>		
PRINT FULL NAME OF APPLICANT	SIGNATURE OF APPLICANT	DATE

General Information

Who must submit a bond?

Form REG-4-D, Financial Institution Irrevocable Letter of Credit Bond, or Form REG-4-A, Financial Responsibility Bond, is required to complete your registration to be licensed as a

- cigarette distributor
- cigarette machine operator
- liquor distributor
- direct wine shipper
- liquor airline
- tobacco products distributor
- motor fuel distributor
- motor fuel supplier
- motor fuel receiver

Note: International Fuel Tax Agreement (IFTA) carriers may be required to obtain a bond.

You must complete **either** Form REG-4-D **or** Form REG-4-A to obtain a bond. You will not be issued a certificate of registration and cannot be legally registered to do business in Illinois until we receive your bond.

How do I obtain a bond using Form REG-4-D?

You must provide Form REG-4-D to the financial institution that will be providing your bond. A separate bond is required for each location.

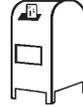
What is required for Form REG-4-D?

All signatures and seals must be original. We will not accept copies, faxes, etc., as proof of bond coverage. Duplicate originals must be marked as such and must meet the same requirements as the original bond form.

If any of the above requirements are not met, we will not accept the bond and it will be returned for correction.

Where do I send my Form REG-4-D?

Mail your completed Form REG-4-D with any required attachments to us at



**CENTRAL REGISTRATION DIVISION 3-222
ILLINOIS DEPARTMENT OF REVENUE
PO BOX 19039
SPRINGFIELD IL 62794-9039**

If you have questions regarding Form REG-4-D, visit our website at tax.illinois.gov or call us weekdays between 8:00 a.m. and 4:30 p.m. at **217 558-7425**.

Specific Instructions

Part 1: Financial institution letter of credit bond type and number

Line a, Bond type - The bond type must match the tax type for which the bond is being issued.

Line b, Financial institution irrevocable letter of credit number - This number is assigned by the financial institution and must be present on the bond.

Line c, Bond amount - The amount of bond coverage must be entered on this line.

Part 2: Taxpayer and financial institution information

Taxpayer - The name and address must be identical to the information that you have registered with us. The address must be the physical location of your business; mailing addresses, PO Boxes, and other addresses are not acceptable.

Financial institution - The name and address of the financial institution executing the bond must be present.

Part 3: Effective and maturity date of bond

Effective date - This is the date the bond coverage will begin.

Maturity date - This is the date on which the letter of credit will mature.

Part 4: Bond conditions

The letter of credit must be written for a minimum of one year and be automatically renewable for successive one-year periods unless we receive written notice of cancellation 30 days prior to the maturity date.

Part 5: Financial institution officer information

Name, title, and signature - These lines must be completed by the financial institution officer authorizing the letter of credit.

Part 6: Financial institution seal

Financial institution seal - An official seal must be affixed in Part 6. If the financial institution does not have an official seal, a letter, on financial institution letterhead, stating that the financial institution does not have an official seal must accompany the letter of credit.



REG-4-A

Financial Responsibility Bond

Part 1: Financial responsibility bond type and number

a Bond type:

b Financial responsibility bond number: _____

Part 2: Taxpayer and financial institution information

We, _____ (as principal)
Taxpayer's name and address

and

Name and address of surety (as surety)

are bound to the people of the State of Illinois in the penal sum of \$_____. We hereby bind ourselves, our heirs, executors, administrators, successors, and assigns to the payment of this amount.

The condition of this bond is that if the principal (taxpayer) identified above, who has applied for the tax responsibility (bond type) identified above, in Part 1, pays to the Illinois Department of Revenue (IDOR) all amounts becoming due from the principal (taxpayer) under this law, then the bond will become void; otherwise, the bond will remain in full force.

The surety identified above may conditionally cancel this bond at any time by filing a written notice with IDOR by registered or certified mail within _____ days. However, the surety is not discharged from any liability previously accrued under this bond or that may accrue before the _____ days expires.

Part 3: Financial responsibility bond signatures and seal requirements

We have signed and sealed this bond on ____/____/_____, to be effective ____/____/_____.
You must attach a power of attorney.

(Principal's seal)

(Surety's seal)

Principal's (taxpayer) signature

Surety's signature

Attorney-in-fact's signature

Countersigned by

President's or co-partner's signature

Corporate secretary's signature

Agent for surety

Number and street

City

State

ZIP

For official use only

Date approved: ____/____/_____
Month Day Year

IDOR Director's signature

License number: _____



Illinois Department of Revenue
REG-4-D

Financial Institution Irrevocable Letter of Credit Bond

Part 1: Financial institution letter of credit bond type and number

- a Bond type: _____
- b Financial institution irrevocable letter of credit number: _____
- c Bond amount: \$ _____

Part 2: Taxpayer and financial institution information

Taxpayer:

Name

Street address

City State ZIP

Financial institution:

Name

Street address

City State ZIP

Part 3: Effective and maturity date of bond

Effective date: ____/____/____
Month Day Year

Maturity date: ____/____/____
Month Day Year

Part 4: Bond conditions

If the taxpayer identified above, in Part 2, fails to pay the Illinois Department of Revenue (IDOR) all moneys, including penalties and interest, due under this bond type's tax act, IDOR is authorized to draw drafts on demand against this irrevocable letter of credit. The sum of this irrevocable letter of credit cannot exceed the bond amount above, in Part 1, and drafts drawn against it are payable on demand. This letter of credit is issued for a period of **one** year and will be renewed automatically for successive **one** year periods unless IDOR receives a written notice of cancellation 30 days prior to the maturity date.

Part 5: Financial institution officer information

The undersigned officer of the financial institution identified above, in Part 2 is duly authorized by the Board of Directors to execute this irrevocable letter of credit; and this financial institution will honor all drafts on demand. The name of the authorized financial institution officer, title and signature are required.

Name: _____ **Title:** _____

Signature: _____

Part 6: Financial institution seal

The official seal of the financial institution must be affixed below.

For official use only

Date approved: ____/____/____
Month Day Year

IDOR Director's signature

License number: _____