

201 South Grand Avenue East  
Springfield, Illinois 62763-0002

Telephone: (217) 782-1200  
TTY: (800) 526-5812

September 26, 2016

Bob Currie, President  
Community Care Alliance of Illinois  
322 S. Green St., Suite 400  
Chicago, IL 60607

**RE: Notice of Sanction: \$50,000 Financial Penalty**

Dear Mr. Currie:

This letter serves as notification to Community Care Alliance of Illinois ("CCAI") of sanction pursuant to Section 7.16.3 of the Contract for Furnishing Health Services in an Integrated Care Program by a Managed Care Organization ("contract") between the Department of Healthcare and Family Services ("Department") and CCAI:

**"7.16.3 Failure to Submit Encounter Data.** The Department and Contractor acknowledge and agree that they will work in good faith to implement mutually agreed upon system requirements resulting in the complete and comprehensive transfer and acceptance of Encounter Data and that such mutual agreement shall not be unreasonably withheld. Contractor shall submit complete and accurate data quarterly to the Department in accordance with the Illinois Medicaid Health Plan Encounter Utilization Monitoring (EUM) Requirements document, as set forth in Attachment XXIII, for each evaluation period. If Contractor does not meet the standards by the evaluation date as set forth in Attachment XXIII, the Department, without further notice, may:

7.16.3.1 Impose a quarterly monetary penalty,

7.16.3.2 Suspend auto-assignment of Potential Enrollees with Contractor, or

7.16.3.3 Impose both."

CCAI's score of 28.00% for its ICP contract in the Encounter Summary Report for Evaluation Period 3 was above the 15% threshold related to the \$50,000 Financial Penalty outlined in Attachment XXIII. The Department is hereby providing written notice that CCAI failed to meet the established expectation and is therefore fining CCAI in the amount of \$50,000. CCAI is to issue a check payable to the Department of Healthcare and Family Services for \$50,000. In the memo section of the check, please indicate "Re EUM Eval. 3 ICP Contract". Please send the check by 11/4/2016 to:

Department of Healthcare and Family Services  
Bureau of Fiscal Operations, Attn: Matthew Duff  
2200 Churchill Road, Building A2  
Springfield, IL 62702

If you have any questions regarding this information, please contact Paul Stieber at 312-793-1045.

Sincerely,

  
Robert Mendonsa, Deputy Administrator  
Division of Medical Programs

cc: Bryant Grimes, Matthew Duff, Paul Stieber, & Sherri Sadala