

**CERTIFICATION OF COMPLIANCE  
FOR  
THE INFORMATION EXCHANGE AGREEMENT  
BETWEEN  
THE SOCIAL SECURITY ADMINISTRATION (SSA)  
AND  
ILLINOIS, HEALTHCARE AND FAMILY SERVICES (STATE AGENCY)  
(State Agency Level)**

In accordance with the terms of the Information Exchange Agreement (IEA/S) between SSA and the State Agency, the State Agency, through its authorized representative, hereby certifies that, as of the date of this certification:

1. The State Agency is in compliance with the terms and conditions of the IEA/S, including the privacy protections provisions set forth in Attachment 1 to the IEA/S;
2. The State Agency has conducted the data exchange processes under the IEA/S without change, except as modified in accordance with the IEA/S;
3. The State Agency will continue to conduct the data exchange processes under the IEA/S without change, except as may be modified in accordance with the IEA/S;
4. Upon SSA's request, the State Agency will provide audit reports or other documents that demonstrate compliance with the review and oversight activities required under the IEA/S; and
5. In compliance with the requirements of the "Electronic Information Exchange Security Requirements and Procedures for State and Local Agencies Exchanging Electronic Information with the Social Security Administration," (last updated December 2017) Attachment 3 to the IEA/S, as periodically updated by SSA, the State Agency has not made any changes in the following areas that could potentially affect the security of SSA data:
  - General System Security Design and Operating Environment
  - System Access Control
  - Automated Audit Trail
  - Monitoring and Anomaly Detection
  - Management Oversight
  - Data and Communications Security
  - Contractors of Electronic Information Exchange Partners
  - Cloud Service Providers for Electronic Information Exchange Partners

The State Agency will submit an updated Security Evaluation Questionnaire at least 30 days prior to making any changes to the areas listed above and provide updated contractor employee lists before allowing new employees' access to SSA provided data.

6. The State Agency agrees that use of computer technology to transfer the data is more economical, efficient, and faster than using a manual process. As such, the State Agency will continue to utilize data exchange to obtain data it needs to administer the programs for which it is authorized under the IEA/S. Further, before directing an individual to an SSA field office to obtain data, the State Agency will verify that the information it submitted to SSA via data exchange is correct, and verify with the individual that the information he/she supplied is accurate. The use of electronic data exchange expedites program administration and limits SSA field office traffic.

The signatory below warrants and represents that he/she is a representative of the State Agency duly authorized to make this certification on behalf of the State Agency.

The signatory may sign this document electronically by using an approved electronic signature process. The signatory electronically signing this document agrees that his/her electronic signature has the same legal validity and effect as his/her handwritten signature on the document, and that it has the same meaning as his/her handwritten signature.

**HEALTHCARE AND FAMILY SERVICES OF ILLINOIS**

  
Theresa Eagleson  
Director

10/29/19  
\_\_\_\_\_  
Date