

Grant

Accountability And

Transparency

Act

Authoritative Sources Training

GRANT ACCOUNTABILITY AND TRANSPARENCY ACT

TRAINING AND COMMUNICATION SUBCOMMITTEE

GATA Implementation

- The Grant Accountability and Transparency Unit has been working with JCAR to adopt rules applicable to Federal and Federal pass-through grants. The goal is to have those adopted by July 1, 2015.

- The areas that have flexibility in the Federal rules and the State grant rules will be drafted through the ILSAC subcommittees and workgroups made up of subject matter experts from State grant-making agencies and the grantee community. Work will be in three phases:
 - 1 - Develop and propose general State rules
 - 2 - Develop and submit process for implementation
 - 3 - Monitor implementation and review process for revision

GATA Implementation

- Phase 1 Subcommittees and Workgroups will include:
 - Development and proposal of rules
 - Identification of performance measures for GATA effectiveness
 - Development of benchmarks for performance measures
 - Reporting benchmarks to the Performance Measures and Reporting Subcommittee

Training and Communication Workgroup

- Subcommittee Structure and Guidelines
- GATA Subcommittee – Objectives
- Federal Regulations – 2 CFR Part 200.
- GATA – Grant Accountability and Transparency Act Requirements
- First Meeting Purpose – Overview Training and Communication
- GATA Subcommittee Interrelationships
- Timeline Overview
- Next Steps

Successful agency oversight and successful grantees will result in a successful Illinois.



Subcommittee Structure and Guidelines

- Meeting Site – DHS Video Conference Rooms Springfield and Chicago – specifics TBD
- Video/Call-in Arrangements – 1-888-494-4032 Pass code 3560086481#
- Dates – Wednesday and Thursdays – twice a month meeting – specifics TBD

Subcommittee Structure and Guidelines

Meeting Norms

- Meetings will start and end on time with members in attendance.
- Members agree to stay focused on meeting's purpose.
- Members agree to be respectful of other members and their ideas.

Subcommittee Structure and Guidelines

- Minutes will be taken for each meeting and a Progress Report submitted to Share Point
- Share Point is operational. The GATU chair or Rhonda Woodson will upload documents for the subcommittee members.
<http://gata.illinois.gov>
- Meetings will end with next meeting date and assigned next steps or tasks

Subcommittee Objectives

The Training and Communication Workgroups will be responsible for providing recommendations to the Training and Communication Subcommittee in the following areas: Training and Communication. The GATA Steering Subcommittee will then present the recommendations to the Illinois Single Audit Commission.

Develop and propose rules for State of Illinois implementation of Grant Accountability and Transparency Act (GATA) and 2 CFR 200 requirements.

Minimum training requirements

Annual training requirements

Subcommittee Objectives

Communication requirements are not part of the proposed rules, but instead proposed guidelines for the rule development and implementation process.

Stakeholders communication plan

Communication methods – Work with IT

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Federal Regulations

Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 2 CFR Part 200:

- 2 CFR §200.____ There are no specifics on training and communication in the Uniform Requirements.

Knowing the Federal regulations is essential!

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State Law

Grant Accountability and Transparency Act, 30 ILCS 708/1 *et seq.*

- GATA requirements – 30 ILCS 708/60 (a)(2)

The development of minimum training requirements, including annual training requirements

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Risk and impact of violation.

Audit findings

Potential question of costs and required return of funds

Loss or reduction of current and future federal or state funding

Increased monitoring

Decision Making for Rule Development

When drafting the administrative rules and developing templates, subcommittees must follow these voting/decision-making procedures:

- Majority vote of approval required before recommendations can be forwarded to GATA Steering Committee
- In the event of a tie vote, the final decision is made by the GATA Steering Committee
- In the event that the vote of the GATA Steering Committee is tied, the final determination is made by ILSAC

Today's Meeting Purpose

- Overview Training and Communication Subcommittee structure and logistics
- Learning and Development Program Plan Draft
- Master Communication Plan Draft

Focused Meetings = Successful Outcomes

Interrelationships with Other Subcommittees

The Training and Communication Subcommittee will have an interrelationship with all of the other subcommittees.

Our role is to ensure training is addressed for each subcommittee area, and the communication planning and guidelines for the entire GATA adoption and implementation.

Interrelationships with Other Subcommittees

Considerations for working with other subcommittees:

- Liaisons to other subcommittees
- Timeframes with feedback on training and communication needs from other subcommittees
- Focus on where we can affect change, not areas where we have no control
- Identify confidential areas of communication

Timeline Overview

➤ Subcommittees and workgroups have four phases for completing drafts of the rules:

- **First phase - first draft completed by June 30, 2015**

The first draft is submitted to the GATU Chair and ILSAC Subcommittee Co-Chairs for review

- **Second phase - interrelated subcommittee draft rules review completed by July 15, 2015**

The draft rules are provided to interrelated subcommittees for impact review and consideration of each subcommittee's rules development.

Timeline Overview

- **Third phase – second draft completed by July 30, 2015**
The second draft is submitted to the GATA Steering Committee for review
- **Fourth phase – final draft presented to ILSAC at August/September meeting**

GATA Next Steps

- GATU submits general State rules to JCAR.
- General rules provided to State agencies for State agency-specific rule development.
- State agencies submit agency-specific rules to GATU for review.
- State agency rules submitted to JCAR.
- After rules are submitted, the implementation phase begins.

GATA is Good Government

It is GATA time.



Landmark legislation.

Sets the bar for grant accountability.

Sets the bar for grant transparency.

Win-win for government and grantee community.

Improves performance outcomes.

Reduces risk of fraud, waste, and abuse.

Saves State agencies and grantees hundreds of millions of dollars.

Successful agency oversight and successful grantees will result in a successful Illinois.