

**30 ILCS 500/1-10(b)(12) Procurement Code Exemption Report
IFA Exempt Transaction 1-10(b)(12) FY 2014**

IPB Reference Number	Agency	Dollar Amount	Term	Service/Supply	Vendor	Exception Utilized
*	IFA	\$9,000 (IFA Small Purchase)	07/01/2014-06/30/2015	Accounting & Auditing Services	Anthes, Pruy, & Associates, Ltd.	30 ILCS 500/1-10(b)(12)
*	IFA	\$20,000 (IFA Small Purchase)	06/19/2014-06/18/2015	Accounting & Auditing Services	Whittaker & Company, PLLC	30 ILCS 500/1-10(b)(12)
*	IFA	\$20,000 (IFA Small Purchase)	06/19/2014-06/18/2015	Defeasance Verification Services	Robert Thomas CPA, LLC	30 ILCS 500/1-10(b)(12)
22033751	IFA	\$233,000	07/01/2014-06/30/2015	Employee Benefits and Payroll	ADP Total Source, Inc.	30 ILCS 500/1-10(b)(12)
22033467	IFA	\$300,000	07/01/2014-06/30/2019	Internal Auditing Services	Clifton Larson Allen LLP	30 ILCS 500/1-10(b)(12)
22033451	IFA	\$525,000	07/01/2014-07/15/2016	Financial Advisory Services	Sycamore Advisors, LLC	30 ILCS 500/1-10(b)(12)
22033451	IFA	\$525,000	07/01/2014-07/15/2016	Financial Advisory Services	Acacia Financial Group, Inc.	30 ILCS 500/1-10(b)(12)
22033665	IFA	\$100,000 (Renewal)	07/01/2014-06/30/2015	Loan Management and Servicing	Mabsco Capital Inc.	30 ILCS 500/1-10(b)(12)
22033662	IFA	\$60,000 (Renewal)	07/01/2014-06/30/2015	Legislative Services	Howard Kenner Government Consulting	30 ILCS 500/1-10(b)(12)
22033664	IFA	\$22,900 (Renewal)	6/21/2014-06/20/2015	Insurance Brokering Services	Mesirow Insurance Services, Inc.	30 ILCS 500/1-10(b)(12)
22033064	IFA	\$125,000.00	Executed on 4/24/2014	Indicative Ratings	Fitch Ratings	30 ILCS 500/1-10(b)(12)
22032334	IFA	\$1,500,000 Approved for Legal Services	3/11/2014 - 3/10/2016	Legal Services	Chapman and Cutler LLP	30 ILCS 500/1-10(b)(12)
22032334	IFA	\$1,500,000 Approved for Legal Services	3/11/2014 - 3/10/2016	Legal Services	Del Galdo Law Group	30 ILCS 500/1-10(b)(12)
22032334	IFA	\$1,500,000 Approved for Legal Services	3/11/2014 - 3/10/2016	Legal Services	Duane Morris LLP	30 ILCS 500/1-10(b)(12)
22032334	IFA	\$1,500,000 Approved for Legal Services	3/11/2014 - 3/10/2016	Legal Services	Howard & Howard Attorneys PLLC	30 ILCS 500/1-10(b)(12)
*	IFA	\$177,500/\$44,375	3/24/2014 -6/30/2014	Financial Advisor Services	Acacia Financial Group (Renewal)	30 ILCS 500/1-10(b)(12)
*	IFA	\$177,500/\$44,375	3/24/2014 -6/30/2014	Financial Advisor Services	Public Financial Management Inc. (Renewal)	30 ILCS 500/1-10(b)(12)
22032334	IFA	\$1,500,000 Approved for Legal Services	2/13/2014 - 2/12/2016	Legal Services	Arnstein & Lehr LLP	30 ILCS 500/1-10(b)(12)
22032334	IFA	\$1,500,000 Approved for Legal Services	2/13/2014 - 2/12/2016	Legal Services	Burke Burns & Pinelli, Ltd.	30 ILCS 500/1-10(b)(12)
22032334	IFA	\$1,500,000 Approved for Legal Services	2/13/2014 - 2/12/2016	Legal Services	Cahill Law Offices	30 ILCS 500/1-10(b)(12)
22032334	IFA	\$1,500,000 Approved for Legal Services	2/13/2014 - 2/12/2016	Legal Services	Charity & Associates, P.C.	30 ILCS 500/1-10(b)(12)
22032334	IFA	\$1,500,000 Approved for Legal Services	2/13/2014 - 2/12/2016	Legal Services	Deutsch, Levy & Engel, Chtd.	30 ILCS 500/1-10(b)(12)
22032334	IFA	\$1,500,000 Approved for Legal Services	2/13/2014 - 2/12/2016	Legal Services	Foley & Lardner LLP	30 ILCS 500/1-10(b)(12)
22032334	IFA	\$1,500,000 Approved for Legal Services	2/13/2014 - 2/12/2016	Legal Services	Franczek Radelet	30 ILCS 500/1-10(b)(12)
22032334	IFA	\$1,500,000 Approved for Legal Services	2/13/2014 - 2/12/2016	Legal Services	Gonzalez Saggio and Harlan, LLC	30 ILCS 500/1-10(b)(12)
22032334	IFA	\$1,500,000 Approved for Legal Services	2/13/2014 - 2/12/2016	Legal Services	Greenberg Traurig, LLP	30 ILCS 500/1-10(b)(12)
22032334	IFA	\$1,500,000 Approved for Legal Services	2/13/2014 - 2/12/2016	Legal Services	Greene and Letts	30 ILCS 500/1-10(b)(12)
22032334	IFA	\$1,500,000 Approved for Legal Services	2/13/2014 - 2/12/2016	Legal Services	Hardwick Law Firm LLC	30 ILCS 500/1-10(b)(12)
22032334	IFA	\$1,500,000 Approved for Legal Services	2/13/2014 - 2/12/2016	Legal Services	Hart, Southworth & Witsman	30 ILCS 500/1-10(b)(12)
22032334	IFA	\$1,500,000 Approved for Legal Services	2/13/2014 - 2/12/2016	Legal Services	Holland & Knight LLP	30 ILCS 500/1-10(b)(12)
22032334	IFA	\$1,500,000 Approved for Legal Services	2/13/2014 - 2/12/2016	Legal Services	Ice Miller LLP	30 ILCS 500/1-10(b)(12)
22032334	IFA	\$1,500,000 Approved for Legal Services	2/13/2014 - 2/12/2016	Legal Services	Katten Muchin Rosenman LLP	30 ILCS 500/1-10(b)(12)
22032334	IFA	\$1,500,000 Approved for Legal Services	2/13/2014 - 2/12/2016	Legal Services	Kutak Rock LLP	30 ILCS 500/1-10(b)(12)
22032334	IFA	\$1,500,000 Approved for Legal Services	2/13/2014 - 2/12/2016	Legal Services	Laner Muchin, Ltd	30 ILCS 500/1-10(b)(12)
22032334	IFA	\$1,500,000 Approved for Legal Services	2/13/2014 - 2/12/2016	Legal Services	McGaugh Law Group LLC	30 ILCS 500/1-10(b)(12)
22032334	IFA	\$1,500,000 Approved for Legal Services	2/13/2014 - 2/12/2016	Legal Services	McGuireWoods LLP	30 ILCS 500/1-10(b)(12)
22032334	IFA	\$1,500,000 Approved for Legal Services	2/13/2014 - 2/12/2016	Legal Services	Miller, Canfield, Paddock and Stone, P.L.C.	30 ILCS 500/1-10(b)(12)
22032334	IFA	\$1,500,000 Approved for Legal Services	2/13/2014 - 2/12/2016	Legal Services	Miller, Hall, & Triggs, LLC	30 ILCS 500/1-10(b)(12)
22032334	IFA	\$1,500,000 Approved for Legal Services	2/13/2014 - 2/12/2016	Legal Services	Peck, Shaffer & Williams LLP	30 ILCS 500/1-10(b)(12)
22032334	IFA	\$1,500,000 Approved for Legal Services	2/13/2014 - 2/12/2016	Legal Services	Pugh, Jones & Johnson, P.C.	30 ILCS 500/1-10(b)(12)
22032334	IFA	\$1,500,000 Approved for Legal Services	2/13/2014 - 2/12/2016	Legal Services	Quarles & Brady LLP	30 ILCS 500/1-10(b)(12)
22032334	IFA	\$1,500,000 Approved for Legal Services	2/13/2014 - 2/12/2016	Legal Services	Quintairo, Prieto, Wood, & Boyer	30 ILCS 500/1-10(b)(12)
22032334	IFA	\$1,500,000 Approved for Legal Services	2/13/2014 - 2/12/2016	Legal Services	Reyes Kurson, LTD.	30 ILCS 500/1-10(b)(12)

(ADDENDUM executed on 4/23/2014) \$44,375.00
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22032334	IFA	\$1,500,000 Approved for Legal Services	2/13/2014 - 2/12/2016	Legal Services	Rock Fusco & Connelly, LLC	30 ILCS 500/1-10(b)(12)
22032334	IFA	\$1,500,000 Approved for Legal Services	2/13/2014 - 2/12/2016	Legal Services	Sanchez Daniels & Hoffman LLP	30 ILCS 500/1-10(b)(12)
22032334	IFA	\$1,500,000 Approved for Legal Services	2/13/2014 - 2/12/2016	Legal Services	Schiff Hardin LLP	30 ILCS 500/1-10(b)(12)
22032334	IFA	\$1,500,000 Approved for Legal Services	2/13/2014 - 2/12/2016	Legal Services	Shanahan & Shanahan LLP	30 ILCS 500/1-10(b)(12)
22032334	IFA	\$1,500,000 Approved for Legal Services	2/13/2014 - 2/12/2016	Legal Services	Taft Stettinius & Hollister, LLP	30 ILCS 500/1-10(b)(12)
22032334	IFA	\$1,500,000 Approved for Legal Services	2/13/2014 - 2/12/2016	Legal Services	Ungaretti & Harris LLP	30 ILCS 500/1-10(b)(12)
22032334	IFA	\$1,500,000 Approved for Legal Services	2/13/2014 - 2/12/2016	Legal Services	Thompson Coburn LLP	30 ILCS 500/1-10(b)(12)
22032334	IFA	\$1,500,000 Approved for Legal Services	2/13/2014 - 2/12/2016	Legal Services	Hinshaw & Culbertson LLP	30 ILCS 500/1-10(b)(12)
22032875	IFA	Not-to-Exceed \$10,000	1/14/2014 - 3/31/2014	Auditing & Accounting	Scott P. Bailey	30 ILCS 500/1-10(b)(12)
22032877	IFA	One-Time purchase of \$4,500	1/14/2014 - 1/14/2014	CDFE Certification Work - New Market T	Ginsberg Jacobs LLC	30 ILCS 500/1-10(b)(12)
22032080	IFA	The Authority shall pay the Contractor \$6,000 per year.	12/5/2013 - 12/4/2018	Receiving Agent Agreement	Amalgamated Bank of Chicago	30 ILCS 500/1-10(b)(12)
22031759	IFA	.10% annually, based on the average capital base of all assets under management	12/5/2013 - 12/4/2016	Investment Management Services	Ziegler Capital Management, LLC	30 ILCS 500/1-10(b)(12)
22031759	IFA	Assets Under Management: First \$25,000,000 (Fee: .07%) Over \$25,000,000 (Fee: .05%)	12/27/2013 - 12/26/2016	Investment Management Services	ClearArc Capital, Inc. (previously Fifth Third Asset Management, LLC)	30 ILCS 500/1-10(b)(12)
22032080	IFA	Trustee Service- *Acceptance Fee: \$5,000 *Annual Service Fee: \$13,000 Custodian Service- *Acceptance Fee: \$1,000 *Annual Service Fee: \$2,000	11/22/2013 - 11/21/2016	Custodian Service	Amalgamated Bank of Chicago	30 ILCS 500/1-10(b)(12)
22028183	IFA	If selected to perform on a transaction, the vendor will be compensated at the time of closing from the bond proceeds of that transaction.	9/5/13 - 9/4/16	Co-Managing Underwriter for State of Illinois Revolving Fund (SRF) Program Financing - Underwriting Services	Barclays Capital Management	30 ILCS 500/1-10(b)(12)
22031800	IFA	\$40,000 Up-front application writing fee plus a one percent success fee	9/9/13 - 9/8/15	New Markets Tax Credits	Baker Tilly Virchow Krause	30 ILCS 500/1-10(b)(12)
22028183	IFA	If selected to perform on a transaction, the vendor will be compensated at the time of closing from the bond proceeds of that transaction.	8/1/13 - 7/30/16	Co-Managing Underwriter for State of Illinois Revolving Fund (SRF) Program Financing - Underwriting Services	Raymond James	30 ILCS 500/1-10(b)(12)
22028183	IFA	If selected to perform on a transaction, the vendor will be compensated at the time of closing from the bond proceeds of that transaction.	8/1/13 - 7/30/16	Co-Managing Underwriter for State of Illinois Revolving Fund (SRF) Program Financing - Underwriting Services	Piper Jaffray	30 ILCS 500/1-10(b)(12)
22028183	IFA	If selected to perform on a transaction, the vendor will be compensated at the time of closing from the bond proceeds of that transaction.	8/1/13 - 7/30/16	Co-Managing Underwriter for State of Illinois Revolving Fund (SRF) Program Financing - Underwriting Services	Samuel A. Ramirez	30 ILCS 500/1-10(b)(12)
22028183	IFA	If selected to perform on a transaction, the vendor will be compensated at the time of closing from the bond proceeds of that transaction.	8/1/13 - 7/30/16	Co-Managing Underwriter for State of Illinois Revolving Fund (SRF) Program Financing - Underwriting Services	Jeffries LLC	30 ILCS 500/1-10(b)(12)

22028183	IFA	If selected to perform on a transaction, the vendor will be compensated at the time of closing from the bond proceeds of that transaction.	8/1/13 -7/30/16	Co-Managing Underwriter for State of Illinois Revolving Fund (SRF) Program Financing - Underwriting Services	Loop Capital Markets LLC	30 ILCS 500/1-10(b)(12)
22028183	IFA	If selected to perform on a transaction, the vendor will be compensated at the time of closing from the bond proceeds of that transaction.	8/1/13 -7/30/16	Co-Managing Underwriter for State of Illinois Revolving Fund (SRF) Program Financing - Underwriting Services	Siebert Brandford Shank & Co. LLC	30 ILCS 500/1-10(b)(12)
22028183	IFA	If selected to perform on a transaction, the vendor will be compensated at the time of closing from the bond proceeds of that transaction.	8/1/13 -7/30/16	Senior Managing Underwriter for State of Illinois Revolving Fund (SRF) Program Financing - Underwriting Services	Citigroup	30 ILCS 500/1-10(b)(12)
22028183	IFA	If selected to perform on a transaction, the vendor will be compensated at the time of closing from the bond proceeds of that transaction.	8/1/13 -7/30/16	Senior Managing Underwriter for State of Illinois Revolving Fund (SRF) Program Financing - Underwriting Services	J.P. Morgan Securities LLC	30 ILCS 500/1-10(b)(12)
22028183	IFA	If selected to perform on a transaction, the vendor will be compensated at the time of closing from the bond proceeds of that transaction.	8/1/13 -7/30/16	Co-Managing Underwriter for State of Illinois Revolving Fund (SRF) Program Financing - Underwriting Services	Wells Fargo Bank, N.A.	30 ILCS 500/1-10(b)(12)
22028183	IFA	If selected to perform on a transaction, the vendor will be compensated at the time of closing from the bond proceeds of that transaction.	8/1/13 -7/30/16	Senior Managing Underwriter for State of Illinois Revolving Fund (SRF) Program Financing - Underwriting Services	Morgan Stanley & Co., LLC	30 ILCS 500/1-10(b)(12)
22028183	IFA	If selected to perform on a transaction, the vendor will be compensated at the time of closing from the bond proceeds of that transaction.	7/30/13 -7/30/16	Senior Managing Underwriter for State of Illinois Revolving Fund (SRF) Program Financing - Underwriting Services	Merrill Lynch, Pierce, Fenner & Smith Incorporated	30 ILCS 500/1-10(b)(12)
22029048	IFA	\$ 175,000.00	8/16/13 - 8/15/16	Records Management Services	Com Microfilm Company	30 ILCS 500/1-10(b)(12)
22031800	IFA	\$40,000 Up-front application writing fee plus a one percent success fee	9/9/13 - 9/8/15	New Markets Tax Credits	Baker Tilly Virchow Krause	30 ILCS 500/1-10(b)(12)

Master Contract total for all vendors
\$1,500,000