



Chairman: David Vaught
Members: Ed Bedore, Ricardo Morales, Larry Ivory, Bill Black

May 22, 2013

Bill Grunloh
Chief Procurement Officer
Illinois Department of Transportation
Executive Ethics Commission
2300 S. Dirksen Pkwy
Room 200
Springfield, Illinois 62764

Re: 50-35 Potential Conflict of Interest, PTB 159, Item 42

Dear Mr. Bill Grunloh,

In accordance with the requirements of Section 50-35 of the Illinois Procurement Code, I have reviewed your request received March 20, 2013 and understand the facts to be as follows:

- 1) The Illinois Department of Transportation has issued a request for a review and comment on potential conflicts of interest disclosed by Kaskaskia Engineering Group, LLC.
- 2) The contract pertains to construction related services in McDonough County.
- 3) Kaskaskia Engineering Group, LLC was selected using the Contractor-Subcontractor agreement.
- 4) The disclosure form submitted on behalf of Kaskaskia Engineering Group, LLC Inc indicates the following:
 - a. Geri E. Boyer, a holder of distributive income or an ownership interest in the firm of more than 5%, indicates that her daughter, Rebecca Boyer, is currently appointed to the position of Member of the Zoning Board of Appeals, City of Belleville. No compensation is received for this appointment.
- 5) The Illinois Department of Transportation confirmed that none of the disclosed individuals were in a position to influence the award of this contract. The Illinois Department of Transportation and CPO Bill Grunloh indicate that it would be in the best interest of the State to approve this conflict of interest due to the using agency's needs and the value of the contract.

Based on the foregoing, you are requesting my review and comment pursuant to the Illinois Procurement Code.

Review and Comment

After careful review of the documentation provided, I do not view the relationships disclosed above as creating a conflict of interest sufficient to preclude the Illinois Department of Transportation from entering into a contract with Kaskaskia Engineering Group, LLC for the services outlined in your letter received March 20, 2013.

Sincerely,

Aaron Carter
Executive Director

Cc: EEC General Counsel



CHIEF PROCUREMENT OFFICE

Bill Grunloh, Department of Transportation - Highway Construction

May 21, 2013

Procurement Policy Board
222 South College Street, Suite 231
Springfield, IL 62704

RE: Potential conflict of interest disclosure, Kaskaskia Engineering Group, LLC, sub-consultant for Professional Transportation Bulletin 159, Item 42, with Volkert and Associates being the prime consultant

Dear Mr. Carter,

Included herewith is the potential conflict of interest form for the above mentioned sub-consultant, selected by the prime consultant Volkert & Associates (Volkert).

Kaskaskia agrees to perform Phase II Engineering Services for 5.8 Miles of US Route 67, a four lane expressway on new alignment from North of Springlake Road to US 136 E, East of Macomb in McDonough County. This includes the eastern pair of ramps at US 67/IL 336/Business US 67 interchange and the northern pair of ramps at US 136/IL 336/US 67. The Phase II scope of work includes resolving design issues that have arisen since the completion of Phase I; performing additional surveys; performing all drainage design; preparing contract plans; preparing TS&L plans, preparing plats and legal descriptions for proposed Right of Way; and preparing special provisions. TS&L plans will be developed for all the bridges and double box culverts shown in the Location Drainage Study. Structure plans will be developed for all structures with supplemental hours negotiated at a later date. Kaskaskia is responsible for performing all work in accordance with Departmental policies and procedures.

Volkert was selected via Quality Based Selection. Kaskaskia was selected via Prime Consultant-Subconsultant agreement.

Geri Boyer, President of Kaskaskia, has disclosed her daughter, Rebecca, holds an appointive position on the City of Belleville Board of Appeals as a Member of Zoning. She receives no compensation for this appointive post.

These potential conflicts have been reviewed by our office, and it has been determined these are not actual conflicts and will not interfere in the execution of this project.

If you have any questions concerning this procurement, please contact Susan Dodd, Consultant Unit, IDOT Bureau of Design and Environment, at 217-782-1792.

Sincerely,

Gretchen Tucka,
State Purchasing Officer

Enclosures

STATE OF ILLINOIS
PROCUREMENT POLICY BOARD
CONFLICT OF INTEREST REVIEW
CHECKLIST

Please ensure that the following documents are included with your request:

- Cover letter with overview of the potential Conflict of Interest
- Conflict of Interest Review Request Form
- Copy of Proposed Contract*
- Any Prequalification Information Submitted by the Vendor
- Prior Procurement Policy Board/Office of the Governor Conflict of Interest Review Letter for this Vendor
- Copy of the Disclosure/Certification Documents Submitted by the Vendor

*may be the proposed contract sent in with a RFP response, a copy of the solicitation response, etc.

Please scan original documents** with signatures and email to:

aaron.carter@illinois.gov
cc: deanna.rossetto@illinois.gov

If you are unable to scan and email the original signed documents, please send to:

Procurement Policy Board
222 South College Street, Suite 231
Springfield, IL 62704

**If you scan and email the original documents, please do not send the original documents to our office. Please keep the documents in your procurement file.

CONFLICT OF INTEREST REVIEW REQUEST FORM

The following information must be filled in as accurately and completely as possible. This form will be sent to the Procurement Policy Board providing essential information needed to review and determine if an actual conflict of interest exists, which is sufficient to preclude the State from entering into the attached proposed contract pursuant to section 50-35(d) of the Illinois Procurement Code.

CONTRACTING AGENCY INFORMATION

Using Agency: Department of Transportation
Address: 2300 S. Dirksen Parkway City/State: Springfield, IL
Contact Person: Susan Dodd Title: Consultant Unit
Phone: 217-782-1792 Fax: 217-524-9327
Email: Susan.Dodd@illinois.gov

VENDOR INFORMATION FOR VENDOR WITH POTENTIAL CONFLICT

This Vendor is: Prime Vendor Sub-contractor
Name of Vendor: Kaskaskia Engineering Group, LLC
Address: 208 East Main Street City/State: Belleville, IL
Contact Person: Geri E. Boyer, P.E. Title: President
Phone: 618-233-5877 Fax: 618-633-5977
Email: gboyer@kaskaskiaeng.com

Prime Consultant Agreement: Professional Transportation
Procurement Bulletin Reference Number: Bulletin 159-042 Date Posted to Bulletin:

CONTRACT INFORMATION

Describe the need for this procurement or proposed contract: Kaskaskia agrees to perform Phase II Engineering Services for 5.8 Miles of US Route 67, a four lane expressway on new alignment from North of Springlake Road to US 136 E, East of Macomb in McDonough County. This includes the eastern pair of ramps at US 67/IL 336/Business US 67 interchange and the northern pair of ramps at US 136/IL 336/US 67. the Phase II scope of work includes resolving design issues that have arisen since the completion of Phase I; performing additional surveys; performing all drainage design; preparing contract plans; preparing TS&L plans, preparing plats and legal descriptions for proposed Right of Way; and preparing special provisions. TS&L plans will be developed for all the bridges and double box culverts show in the Location Drainage Study. Structure plans will be developed for all structures with supplemental hours negotiated at a later date. Kaskaskia is responsible for performing all work in accordance with Departmental policies and procedures.

Total Contract Amount (Estimate if actual is unknown): \$ Prime Consultant Amount: 2,629,063; Sub Consultant Amount: \$474,628

Contract Begin Date: Upon authorization to proceed is received. Contract End Date: N/A

Describe any Renewal Options (i.e. One 2-year Option): No renewal options

PROCUREMENT PROCESS

What was the method of procurement used to select this vendor? Consultant-SubConsultant agreement.

If this vendor was selected using a competitive process, how many vendors responded to the bid? N/A

Were any vendors disqualified? N/A If yes, please explain why.

If a multiple award, how many vendors were awarded a contract? Not a multiple award.

Were there any instances of low vendor rejections? N/A If yes, please explain why.

EXPLANATION OF POTENTIAL CONFLICTS OF INTEREST

For each individual identified as causing a potential conflict of interest, include the following information: name, relationship (if applicable), Agency of State employment, start date, job title and responsibilities. Indicate if any of the named individuals earn more than \$106,447.20 and explain the potential conflict of interest.

Geri Boyer has disclosed her daughter, Rebecca, holds an appointive position on the City of Belleville Board of Appeals as a Member of Zoning. She receives no compensation for this appointive post.

If a prime vendor, did they disclose their use of lobbyists? Yes No
If yes, did the lobbyists lobby on behalf of the vendor to secure this contract? Yes No

PROVIDE A WRITTEN STATEMENT SIGNED BY THE AGENCY REPRESENTATIVE FOR YOUR AGENCY STATING:

1. Is the conflict an actual conflict and whether or not any of the above named individual(s) were in a position to influence the State's award of this contract.
No. This is potential and in no way influenced the procurement.
2. Why is it in the best interest of the State to proceed with this contract.

This is for State Route and structure maintenance and repair. This is essential to the Department's mission.

AGENCY/UNIVERSITY REPRESENTATIVE SIGNATURE


Agency/University Representative Signature

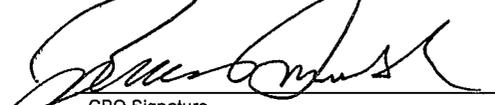
Susan Dodd
Agency/University Representative Printed Name

5/21/2013
Date

217.782.1792
Agency/University Representative Telephone

susan.dodd@illinois.gov
Agency/University Representative Email Address

CPO SIGNATURE REQUIRED


CPO Signature

Bill Grunloh
CPO Printed Name

5/21/2013
Date

217.558.5434
CPO Telephone Number

bill.grunloh@illinois.gov
CPO Email Address