

2015  
082028

# STATEMENT OF ECONOMIC INTERESTS TO BE FILED WITH THE SECRETARY OF STATE



(Type or Hand Print Name and Address in the blank space)

BRUCE RAUNER  
207 STATE HOUSE  
SPRINGFIELD IL 62706

**FILED**  
INDEX DEPARTMENT  
MAY 01 2015  
IN THE OFFICE OF  
SECRETARY OF STATE

### GOVERNOR

(List each Office or Position of Employment for which this Statement is Filed)

### GENERAL DIRECTIONS

The interest (if constructively controlled by the person making the statement) of a spouse or any other party, shall be considered to be the same as the interest of the person making the statement. Campaign receipts shall not be included in this statement.

If additional space is needed, please attach supplemental listing.

1. List the name and instrument of ownership in any entity doing business in the State of Illinois, in which the ownership interest held by the person at the date of filing is in excess of \$5,000 fair market value or from which dividends in excess of \$1,200 were derived during the preceding calendar year. (In the case of real estate, location thereof shall be listed by street address, or if none, then by legal description.) No time or demand deposit in a financial institution, nor any debt instrument need be listed.

Business Entity

Instrument of Ownership

*See Attached*

2. List the name, address and type of practice of any professional organization in which the person making the statement was an officer, director, associate, partner or proprietor or served in any advisory capacity, from which income in excess of \$1,200 was derived during the preceding calendar year.

Name

Address

Type of Practice

*None*

3. List the nature of professional services rendered (other than to the State of Illinois) to each entity from which income exceeding \$5,000 was received for professional services rendered during the preceding calendar year by the person making the statement.

*None*

4. List the identity (including the address or legal description of real estate) of any capital asset from which a capital gain of \$5,000 or more was realized during the preceding calendar year.

*See Attached*

5. List the identity of any compensated lobbyist with whom the person making the statement maintains a close economic association, including the name of the lobbyist and specifying the legislative matter or matters which are the object of the lobbying activity, and describing the general type of economic activity of the client or principal on whose behalf that person is lobbying.

Lobbyist	Legislative Matter	Client or Principal
<i>None</i>		

6. List the name of any entity doing business in the State of Illinois from which income in excess of \$1,200 was derived during the preceding calendar year other than for professional services and the title or description of any position held in that entity. (In the case of real estate, location thereof shall be listed by street address, or if none, then by legal description.) No time or demand deposit in a financial institution nor any debt instrument need be listed.

Entity	Position Held
<i>See Attached</i>	

7. List the name of any unit of government which employed the person making the statement during the preceding calendar year other than the unit or units of government in relation to which the person is required to file.

*None*

8. List the name of any entity from which a gift or gifts, or honorarium or honoraria, valued singly or in the aggregate in excess of \$500, was received during the preceding calendar year.

*None*

VERIFICATION

"I declare that this statement of economic interests (including any accompanying schedules and statements) has been examined by me and to the best of my knowledge and belief is a true, correct and complete statement of my economic interests as required by the Illinois Governmental Ethics Act. I understand that the penalty for willfully filing a false or incomplete statement shall be a fine not to exceed \$1,000 or imprisonment in a penal institution other than the penitentiary not to exceed one year, or both fine and imprisonment."

  
 \_\_\_\_\_  
 (Signature of Person Making the Statement) (Date)

NOTE: This statement is to be filed in the Office of the Secretary of State, Ethics Section, Index Department, 111 East Monroe, Springfield, Illinois 62756

Bruce V. Rauner  
Statement of Economic Interest  
Supplemental Listing Regarding Question Number One

Entity Name	Instrument of Ownership
233 S. Wacker Investments LLC	Membership interest
2U INC CMN	Stock
ABB LTD	Stock
Accretive Health Inc	Stock
Arbor Investments II - AIV Fund QP LP	Partnership interest
Arbor Investments II QP LP	Partnership interest
Arbor Investments III LP	Partnership interest
Aristar Ventures I, LLC	Membership interest
Aristar Ventures I-C, LLC	Membership interest
A-S Klaff Equity LLC	Membership interest
Astrazeneca PLC	Stock
BAE Systems PLC	Stock
Bayer A G	Stock
BCE Inc	Stock
BDT Capital Partners Annex Fund AIV, LP	Partnership interest
BDT Capital Partners Annex Fund LP	Partnership interest
BHP LTD	Stock
BK Elk Grove LLC	Membership interest
BNP Paribas	Stock
BOC Hong Kong Holdings LTD	Stock
British American Tobacco PLC	Stock
Bulls Media LLC	Membership interest
Carleon Fund LP	Partnership interest
Channel Clarity Holdings LLC	Membership interest
Chicago Bulls LP	Partnership interest
Chicago Local Foods LLC	Membership interest
Chicago Ventures Fund	Partnership interest
Citadel Tactical Trading LLC	Membership interest
Citadel Velocity Fund LLC	Membership interest
Citadel Wellington LLC	Membership interest
Cleversafe, Inc.	Stock
Cloud 2011 LLC	Membership interest
CNOOC ITD	Stock
Cypress Cellular, LP	Partnership interest
DBMC Associates LLC	Membership interest
Deutsche Post AG	Stock
Deutsche Telekom AG	Stock
Digital Greensigns LLC	Membership interest
EatStreet.com	Stock
edo Interactive	Stock
Endeavor Fund LLC	Membership interest
Endeavour Associates Fund IV	Partnership interest

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Entity Name	Instrument of Ownership
Entropic Communications Inc	Stock
Firestarter Fund LLC	Membership interest
Flexpoint Fund II LP	Partnership interest
Flexpoint Fund LP	Partnership interest
GDF Suez	Stock
Giggle	Stock
Glaxosmithkline PLC	Stock
Goldman Sachs Group Inc CMN (GS)	Stock
Goldman Sachs Investment Partners LP	Partnership interest
GTCR Investment Partners LP	Partnership interest
GTCR Leasing LLC	Membership interest
GTCR Management X LP	Partnership interest
GTCR Partners IX AIV Ironshore LP	Partnership interest
GTCR Partners IX LP	Partnership interest
GTCR Partners VI LP	Partnership interest
GTCR Partners VII LP	Partnership interest
GTCR Partners VIII LP	Partnership interest
GTCR Partners X/A&C AIV LP	Partnership interest
GTCR Partners X/A&C LP	Partnership interest
GTCR Partners X/B LP	Partnership interest
HIGI Holdings, LLC	Membership interest
Hilltop Holdings Inc	Stock
Honda Motor Ltd	Stock
HSBC Holdings PLC	Stock
Imperial Tobacco PLC	Stock
Indemand Investors LLC	Membership interest
Intelity Solutions, Inc.	Stock
IntercontinentalExchange (ICE)	Stock
Iron Partners Holdings LLC	Membership interest
Japan Tobacco, Inc. Unspn ADR	Stock
JetPay Corp	Stock
JP Morgan Multi-Strategy Fund II LP	Partnership interest
JPM Chase and Co CMN	Stock
Kids Sports Entertainment, Inc.	Stock
Kirin Holdings Company LTD	Stock
Kirkpatrick Capital Partners Fund I LP	Partnership interest
Klister, LLC	Membership interest
K-Saturn LLC	Membership interest
Laboratory Corporation of America Holdings	Stock
LCMC Associates LLC	Membership interest
Lukoil OAO Spons ADR	Stock
Manulife Financial Corp	Stock
Merge Healthcare, Inc.	Stock

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Entity Name	Instrument of Ownership
Michelin (CDGE)	Stock
Morgan Stanley Real Estate Fund VII Global-T LP	Partnership interest
Mount Kellett Capital Partners II Access Fund LP	Partnership interest
MTN Group LTD	Stock
Muenchener Rueckversicherungs	Stock
MX Investment LLC	Membership interest
Nestle S A	Stock
NESV I LLC	Membership interest
Neurowave Medical Technologies LLC	Membership interest
Nippon Tel & Tel Corp	Stock
Novartis A G	Stock
Orkla ASA	Stock
Pangea Properties	Stock
Peak6 Investments LP	Partnership interest
Performance Health Systems LLC	Membership interest
Pittsburgh Steelers Sports, Inc.	Stock
Privatebankcorp, Inc CMN	Stock
Prospect Partners II LP	Partnership interest
Prospect Partners III LP	Partnership interest
Prospect Partners, LP	Partnership interest
Raise Marketplace, Inc.	Stock
Rapids LLC	Membership interest
Reckitt Benckiser Group PLC	Stock
Resultly, Inc.	Stock
Rexam PLC - Sponsored ADR	Stock
Riocan Real Estate Investment Trust	Stock
Roche Holdings LTD	Stock
Roundtable Investment Partners LLC	Membership interest
Royal Dutch Shell PLC	Stock
Sand Springs LP	Partnership interest
Sanofi	Stock
SCP Worldwide I LP	Partnership interest
Siemens A G	Stock
Singapore Telecommunications LTD	Stock
Smiths Group PLC	Stock
Sotheby's CMN Class A (BID)	Stock
SSE PLC	Stock
Statoil ASA	Stock
SteadyMed	Stock
SynCardia Systems, Inc	Stock
Telekomunikasi IND	Stock
Total SA	Stock
Tripletree Holdings LLC	Membership interest

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<u>Entity Name</u>	<u>Instrument of Ownership</u>
UBS Group AG	Stock
Unilever N V	Stock
United Overseas Bank LTD	Stock
VDC Investment 102 LLC (Innography)	Membership interest
VDC Investments 101 LLC	Membership interest
Verifone Systems, Inc.	Stock
Verisk Analytics Inc	Stock
Visa Inc CMN Class A (V)	Stock
Vital Therapies	Stock
Vodafone Group PLC	Stock
VR Acquisitions (Investors) LLC	Membership interest
Water Street Healthcare Partners II LP	Partnership interest
Water Street Healthcare Partners II AIV LP	Partnership interest
WHI Real Estate Partners III, LP	Partnership interest
Wisdomtree Investments Inc	Stock
Zest Healthcare	Stock
Zurich Insurance Group-ADR	Stock

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Supplemental Listing Regarding Question Number Four

**Entity Name**

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Acartha Group LLC  
Arbor Investments II QP LP  
BBH Core Select Fund - N  
BDT Capital Partners Annex Fund LP  
Brookside Capital Partners Fund LP  
Carleon Fund LP  
Chicago Bulls LP  
Chicago Ventures  
Chrysalis Ventures III LP  
Citadel Tactical Trading LLC  
Citadel Velocity Fund LLC  
Citadel Wellington LLC  
Clough Investment Partners I LP  
DB market Plus SX5E 03/19/14  
Deutsche Post AG  
DFA US Core Equity 1 Portfolio  
Edge Discovery, LLC  
Endeavour Associates Fund IV  
Fisher Lynch Venture Partnership II-A LP  
Flexpoint Fund II LP  
Flexpoint Fund LP  
Gentiva Health Services Inc  
Goldentree Credit Opportunities  
Goldman Sachs Investment Partners LP  
GS Capital Partners 2000 LP  
GTCR Partners IX LP  
GTCR Partners VI LP  
GTCR Partners X/A&C LP  
GTCR Partners X/B LP  
HMLP Multi-strategy Private  
JP Morgan Multi-Strategy Fund II  
JPM Intl Opportunities- FD-Sel  
JPM Market Expansion Index FD - Sel  
JPM US Lrge Cap core Plus FD - Sel  
Kirkpatrick Capital Partners Fund I LP  
Lone Cascade LP  
Matthews Pacific Tiger Instl Fund  
Morgan Stanley Premium Partners Fund II LP  
Morgan Stanley Real Estate Fund VII Global-T LP  
Mount Kellett Capital Partners II Access Fund LP  
MX Investment LLC  
Passive Capital Management LLC  
Peak6 Investments LP

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**Entity Name**

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Pittsburgh Steelers Sports, Inc.  
Prospect Partners II LP  
Quetico Investors LP  
Roundtable Investment Partners LLC  
RSD & Associates LP  
Sirios Capital Partners II LP  
T Rowe Price New Asia  
Voyager Global Master Fund LP  
Water Street Healthcare Partners II LP  
Whitehall Street Real Estate LP XI  
Windhorse Partners LP

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Supplemental Listing Regarding Question Number Six

<u>Entity Name</u>	<u>Position Held</u>
233 S. Wacker Investments LLC	
Arbor Investments II - AIV Fund QP LP	
Arbor Investments II QP LP	
Arbor Investments III LP	
A-S Klaff Equity LLC	
BDT Capital Partners Annex Fund AIV, LP	
BDT Capital Partners Annex Fund LP	
British American Tobacco PLC	
Bulls Media LLC	
Carleon Fund LP	
Chicago Bulls LP	
Chicago Ventures	
Citadel Tactical Trading LLC	
Citadel Velocity Fund LLC	
Citadel Wellington LLC	
DBMC Associates LLC	
Deutsche Telekom AG	
Digital Greensigns LLC	
Endeavour Associates Fund IV	
Flexpoint Fund II LP	
Flexpoint Fund LP	
GDF Suez	
Glaxosmithkline PLC	
Goldman Sachs Group Inc CMN (GS)	
Goldman Sachs Investment Partners LP	
GTCR Leasing LLC	
GTCR Management X LP	
GTCR Partners IX LP	
GTCR Partners VI LP	
GTCR Partners VIII LP	
GTCR Partners X/A&C AIV LP	
GTCR Partners X/A&C LP	
GTCR Partners X/B LP	
HSBC Holdings PLC	
Imperial Tobacco PLC	
Intelity Solutions, Inc.	
IntercontinentalExchange (ICE)	
JP Morgan Multi-Strategy Fund II	
JPM Chase and Co CMN	
Kirkpatrick Capital Partners Fund I LP	
K-Saturn	
Morgan Stanley Real Estate Fund VII Global-T LP	
Mount Kellett Capital Partners II Access Fund LP	

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<u>Entity Name</u>	<u>Position Held</u>
MTN Group LTD	
Muenchener Rueckversicherungs	
MX Investment LLC	
Nestle S A	
NESV I LLC	
Novartis A G	
Pangea Properties	
Peak6 Investments LP	
Pittsburgh Steelers Sports, Inc.	
Prospect Partners II LP	
Prospect Partners III LP	
Rapids LLC	
Roundtable Investment Partners LLC	
Sand Springs LP	
Sanofi	
SCP Worldwide I LP	
Singapore Telecommunications LTD	
Sotheby's CMN Class A (BID)	
Statoil ASA	
Total SA	
Tripletree Holdings LLC	
Unilever N V	
VDC Investments 101 LLC	
Visa Inc CMN Class A (V)	
Vodafone Group PLC	
Water Street Healthcare Partners II LP	
Zurich Insurance Group-ADR	

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